

An aerial photograph of a university campus, likely Tohoku University, showing various buildings, green spaces, and sports fields. In the background, a range of mountains is visible under a cloudy sky. The text is overlaid on the upper portion of the image.

# X-ray monochromators

T. Matsushita

Photon Factory

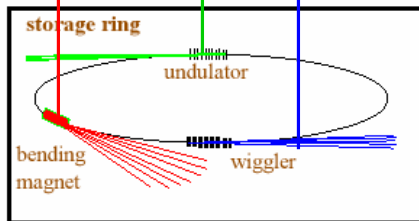
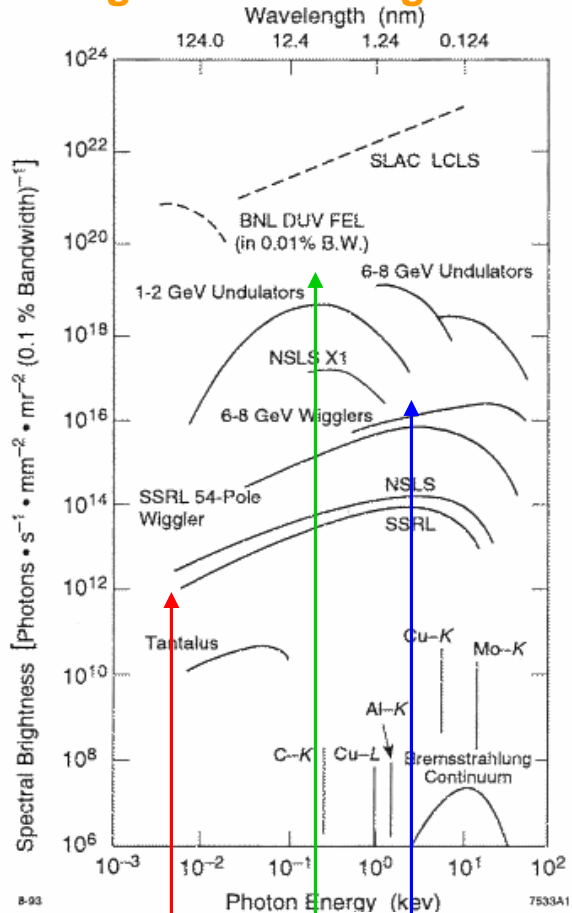
High Energy Accelerator Research Organization

# outline

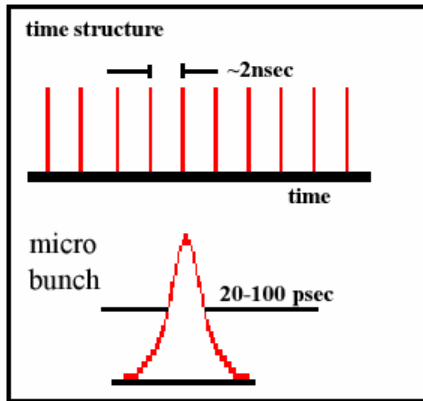
1. Controlling X-ray beam properties and the roll of crystal monochromators
2. Bragg diffraction by crystals
3. Dynamical diffraction
4. Double crystal monochromator
5. Heat load and cooling
6. Higher harmonics rejection
7. High resolution monochromators
8. Phase retarder and polarization conversion
9. Curved crystals
10. Other issues and future problems

# Synchrotron Radiation - Basic Properties

## High flux and brightness



## Pulsed time structure



Broad spectral range

Polarized (linear, elliptical, circular)

Small source size

Partial coherence

High stability

HIGH VACUUM ENVIRONMENT

$$\text{Flux} = \frac{\# \text{ of photons in given } \Delta\lambda/\lambda}{\text{sec, mrad } \theta}$$

Brightness (Brilliance)

$$= \frac{\# \text{ of photons in given } \Delta\lambda/\lambda}{\text{sec, mrad } \theta, \text{ mrad } \phi, \text{ mm}^2}$$

(a measure of concentration of the radiation)

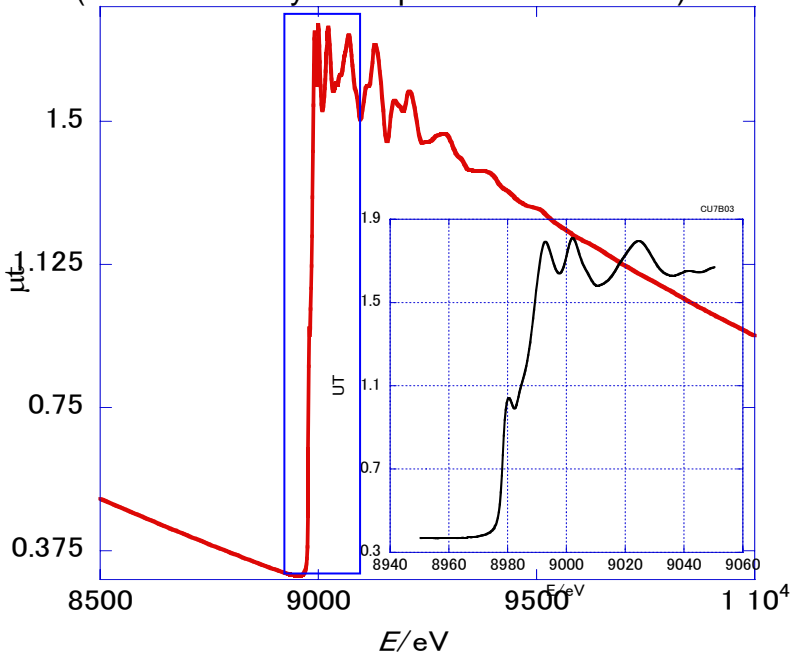
# Designing your experiment

- X-ray optical consideration -



## EXAFS

(Extended X-ray Absorption Fine Structure)<sub>CU2A03</sub>

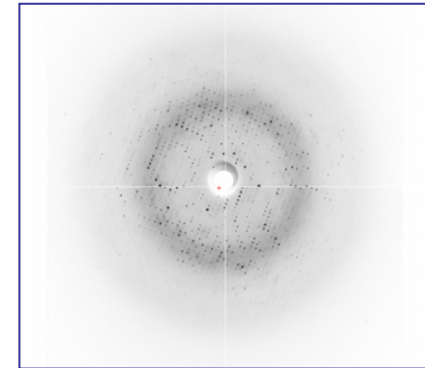
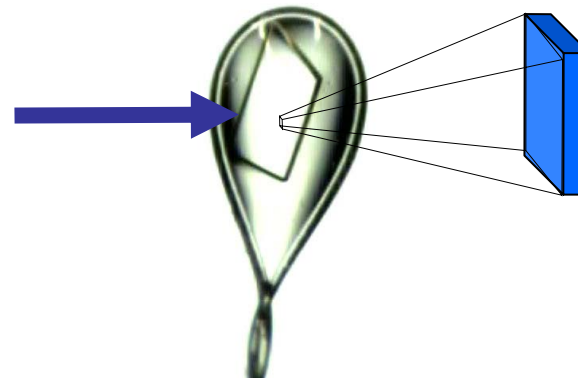


E: ~4 keV - ~20 keV (~10 keV - ~50 keV)

$\Delta E/E$ :  $\sim 10^{-4}$

Beam size: 1 mm x 10 mm – 1  $\mu\text{m}^2$

## Protein crystallography



$\lambda$  : 0.7 Å ~ 3 Å (E : 18 keV ~ 4 keV)

$\Delta\lambda/\lambda$  ( $\Delta E/E$ ) :  $10^{-3}$  ~  $10^{-4}$

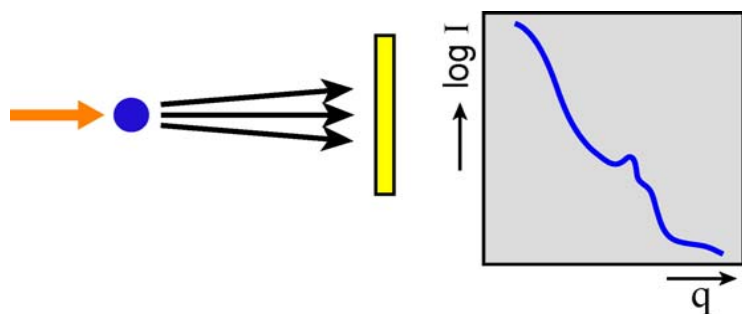
Angular divergence : 2 ~ 0.2 mrad

Beam spot size : 5 ~ 200  $\mu\text{m}$

# Designing your experiment

## - X-ray optical consideration -

### Small angle scattering



PF 15A (bending magnet)

E: 6keV- 20 keV

$\Delta E/E$ :  $10^{-3}$

Angular convergence:

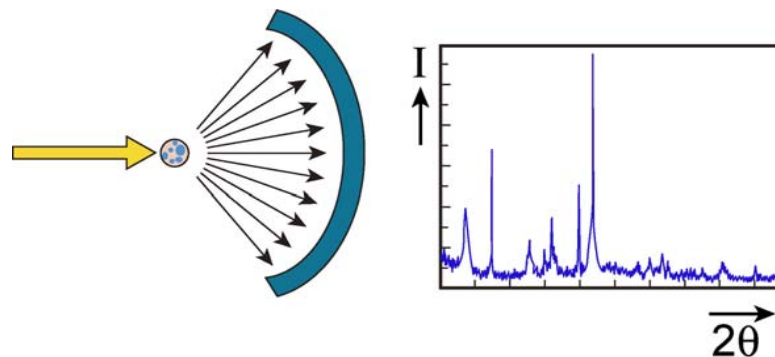
0.1- 0.2 mrad (V)

1- 2 mrad (H)

Focal spot size:

0.3 mm (V) - 1.0 mm (H)

### Powder diffraction



Spring-8 BL02B (bending magnet)

E: 10 keV – 35 keV

$\Delta E/E$ :  $\sim 10^{-4}$

Angular divergence:

0.5 – 1 mrad

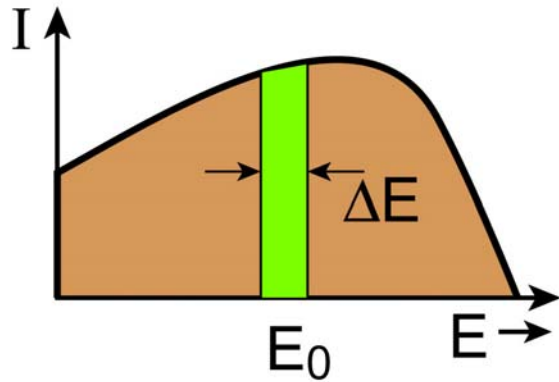
Beam size:

V: 0.1 ~ 0.5 mm

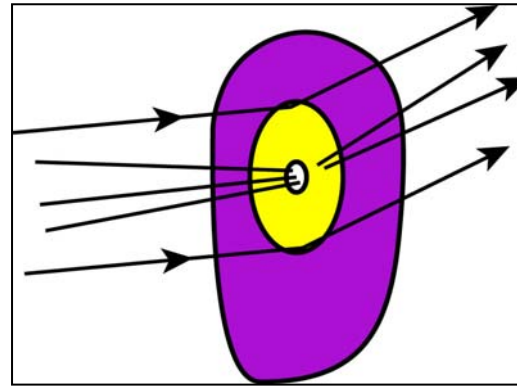
H: 1 ~ 3 mm

# Controlling the X-ray beam properties by X-ray *crystal* monochromators

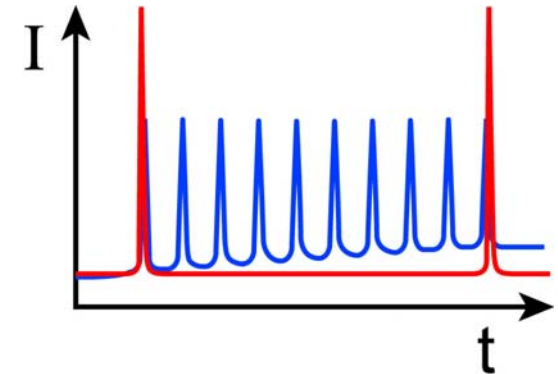
## Energy, energy resolution



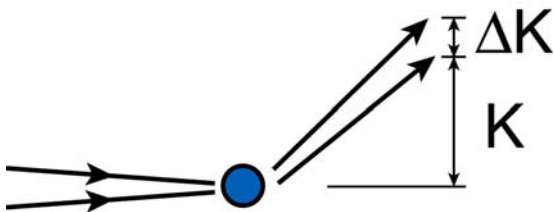
## Spatial spread



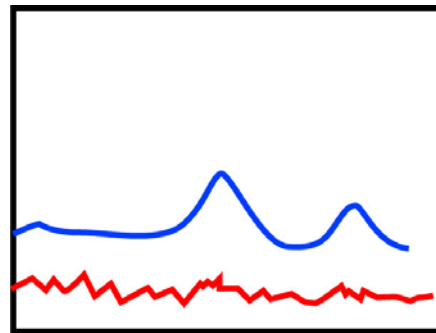
## Time structure



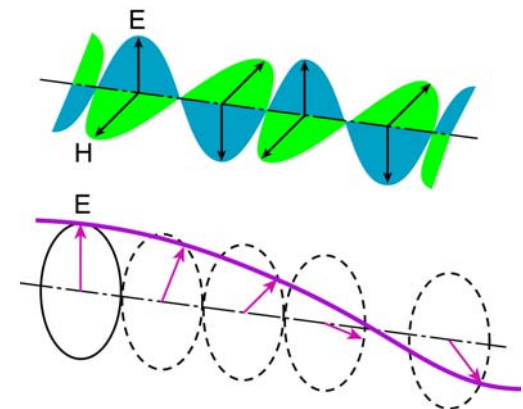
## Angular divergence



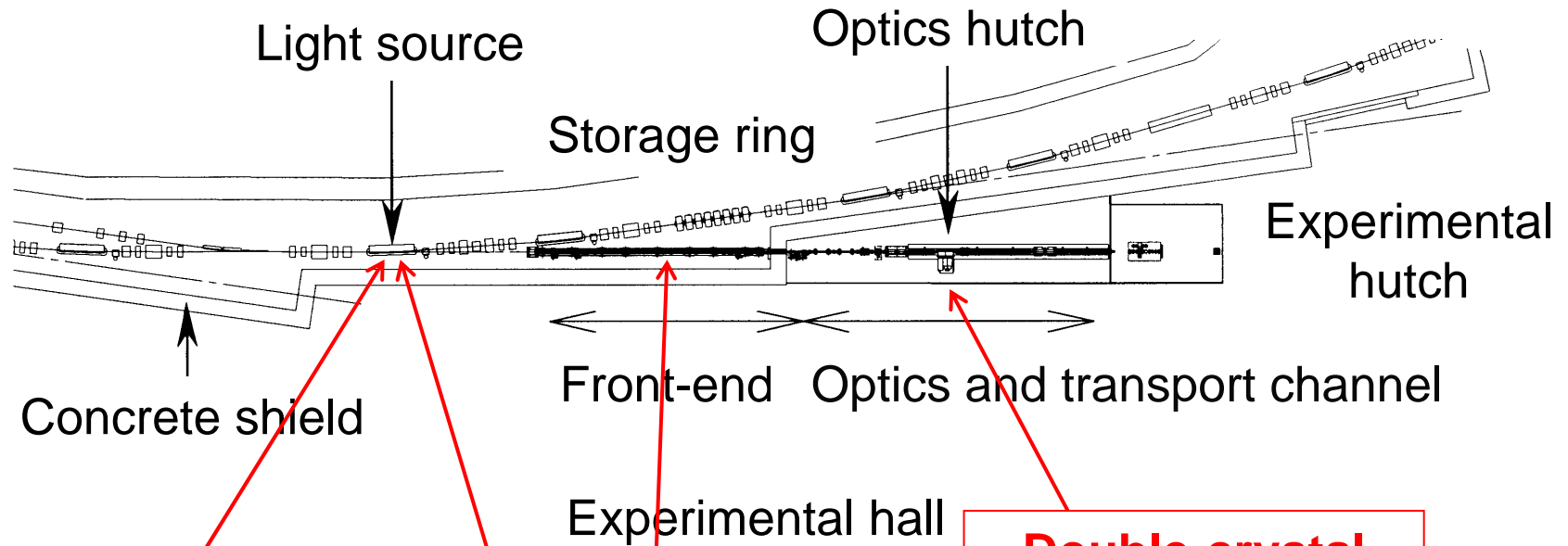
## intensity



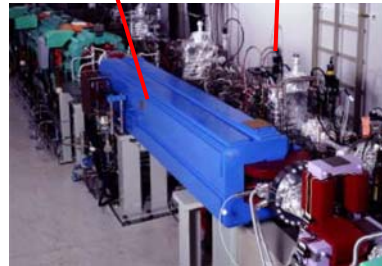
## polarization



# Example of beamline structure @SPring-8



Undulator



Bending magnet & front-end



**Double-crystal  
monochromator**

# Crystal monochromators

Bragg's law of diffraction

$$2d(\text{\AA})\sin(\theta) = n\lambda(\text{\AA}) = n\frac{12.4}{E(\text{keV})}$$

$d$ : Lattice ( $d$ )-spacing,

$\theta$ : glancing angle,

$\lambda$ : X-ray wavelength

10 keV : 1.24 Å,

1 Å : 12.4 keV

Energy (wavelength) resolution

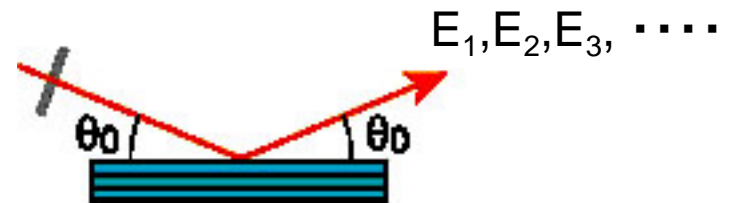
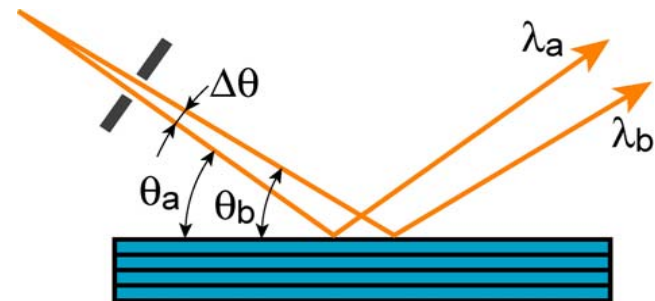
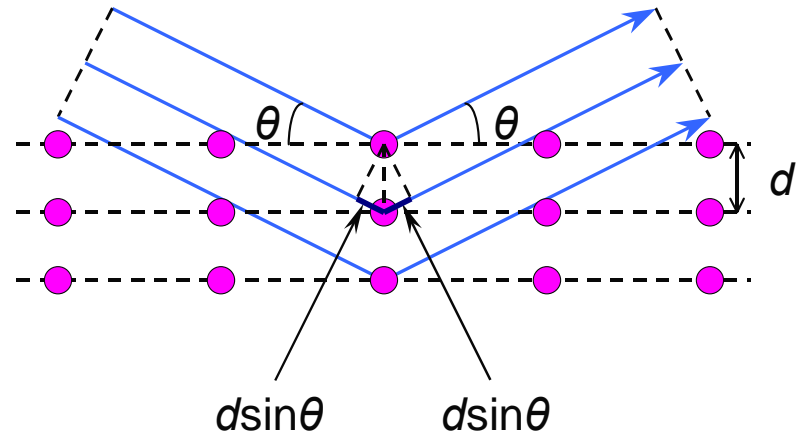
$$\frac{\Delta E}{E} = \frac{\Delta \lambda}{\lambda} = \Delta \theta \cot \theta$$

Higher harmonics

$$E_1 = 10 \text{ keV } (n = 1)$$

$$E_2 = 20 \text{ keV } (n = 2)$$

$$E_3 = 30 \text{ keV } (n = 3)$$

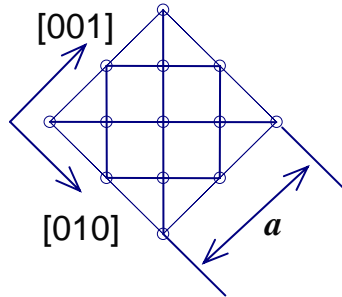




# Lattice planes of silicon

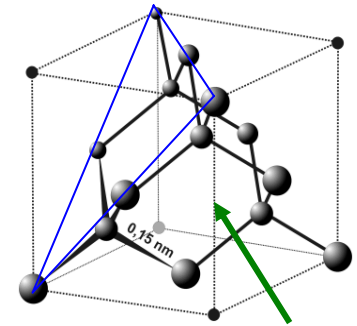


Top view

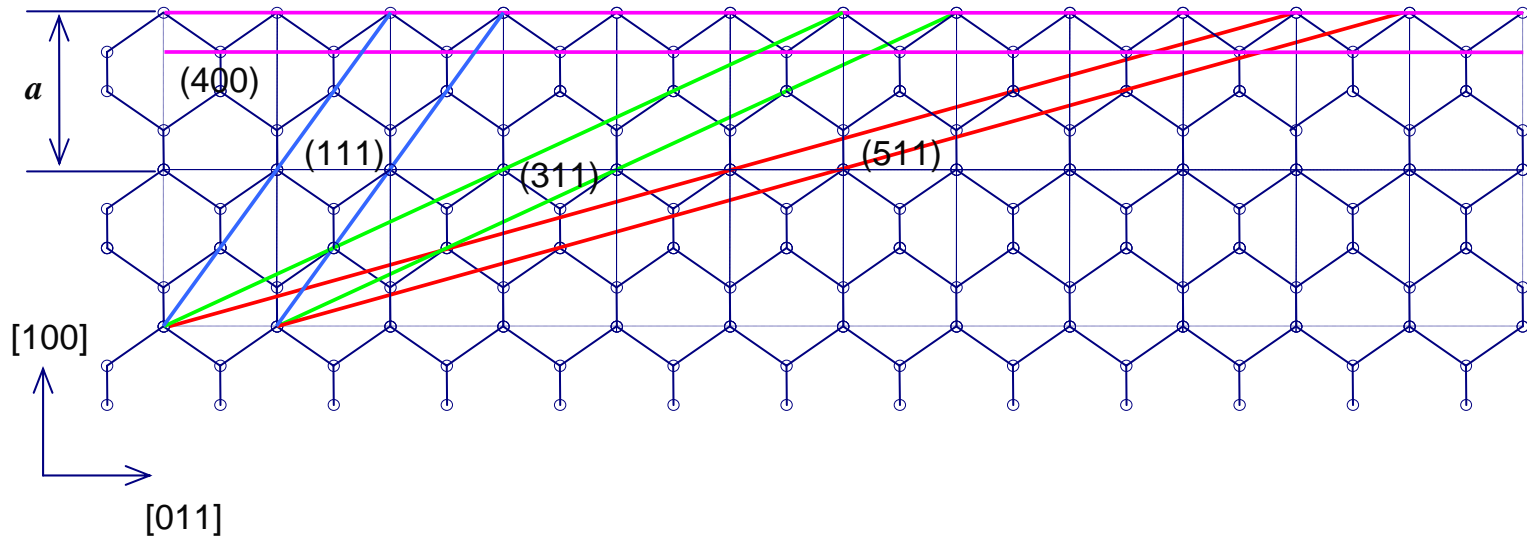


$$a_0 = 5.43095 \text{ \AA}$$

<i>d</i> -spacing	(400) : 1.3578 Å
	(111) : 3.1356 Å
	(311) : 1.6375 Å
	(511) : 1.0452 Å



Side view



# Energy range of SPring-8 standard monochromator

e.g. For SPring-8 standard monochromator

$$2d \sin(\theta) = n\lambda$$

→ Reflection

Si 111 :  $d = 3.1356 \text{ \AA}$

Si 311 :  $d = 1.6375 \text{ \AA}$

Si 511 :  $d = 1.0452 \text{ \AA}$

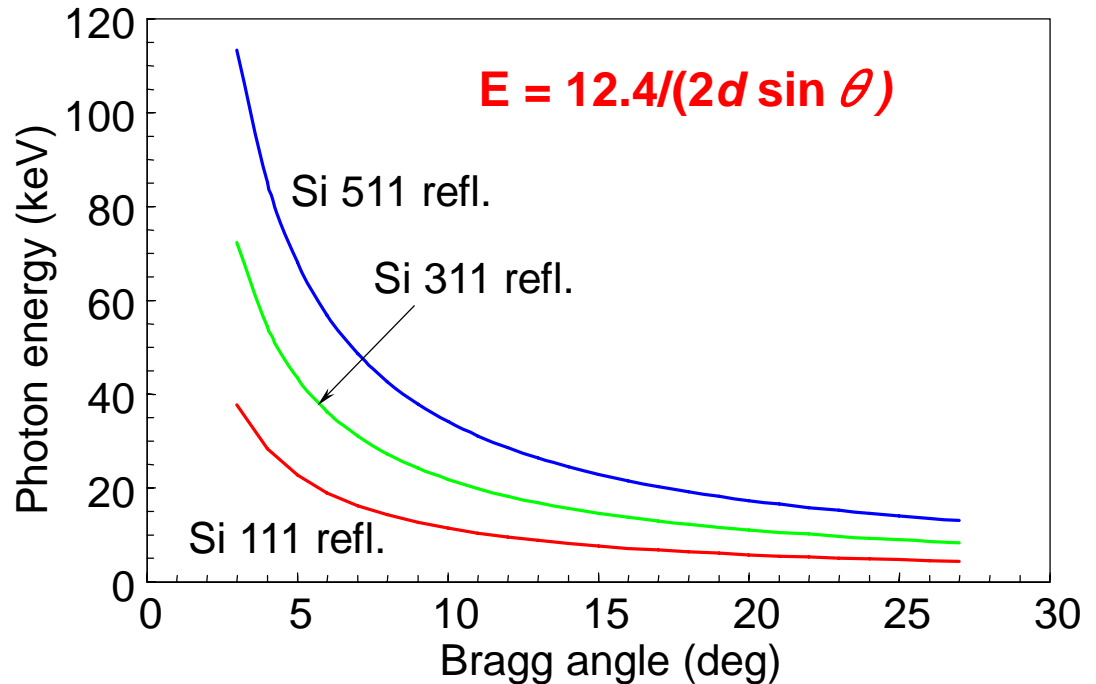
.....

→ Bragg angle

$3 \sim 27^\circ$

→ Energy range

$4.4 \sim 110 \text{ keV}$



**Photon energy (wavelength) can be selected  
by crystal, net planes, and Bragg angle.**

# Preparation of crystal monochromator

No or less imperfections

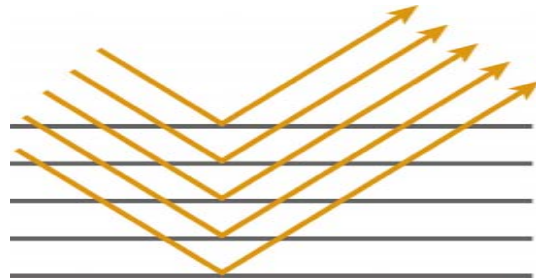
dislocations  
stacking faults  
point defects (non-uniformly distributed, striations, aggregations)



Courtesy of Sharan Instruments Co. Ltd.

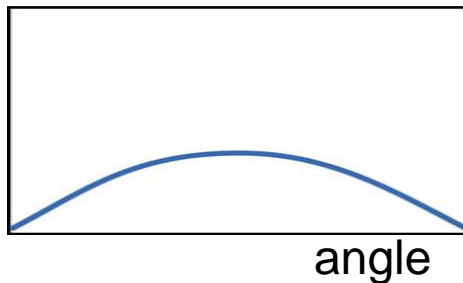
# X-ray diffraction by a single crystal

Kinematical diffraction  
(imperfect crystal,  
small crystal)

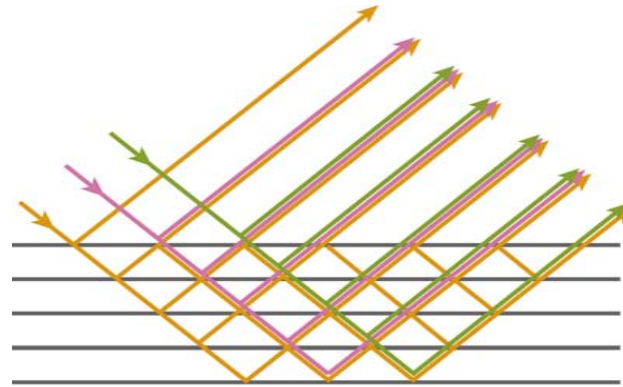


Single scattering

X-rays are scattered  
only once in the  
crystal.

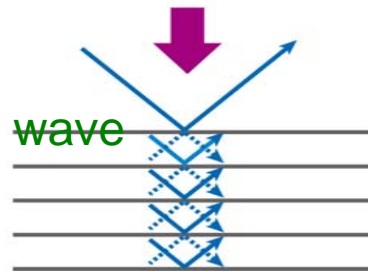


Dynamical diffraction  
(nearly perfect crystal)



Multiple  
scattering

stationary wave  
field



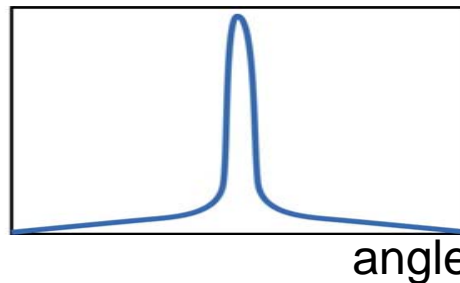
Silicon

Germanium

Quartz

Diamond

gallium arsenide



# X-Ray Dynamical Diffraction

- P. Ewald (1912, 1917):  
dipoles in the crystal which are excited by the incident X-ray wave and radiate X-rays.
- C. Darwin (1914): multiple reflection by lattice planes.
- M. von Laue (1931) :  
continuous medium consisting of periodic dielectric constant.
  
- Experimental proof: in 1960's and 1970's, big perfect crystals (silicon, germanium, etc) became available
- Since late 1970's, perfect crystals have been used as monochromators on synchrotron beamlines.

## Textbooks and reviews

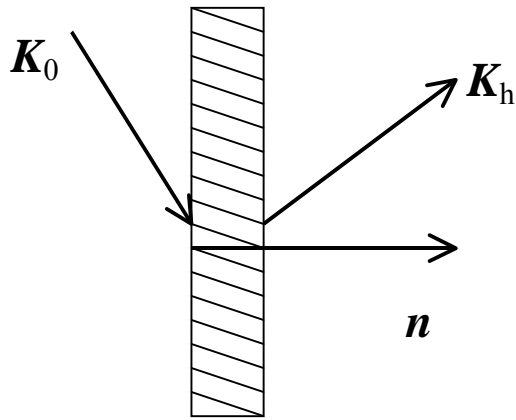
B. W. Batterman and H. Cole, Rev. Mod. Phys. 36, 681 - 717 (1964)  
Dynamical Diffraction of X Rays by Perfect Crystals

A. Authier, Dynamical Theory of X-Ray Diffraction, International Union of Crystallography  
Monographs on Crystallography No. 11. Oxford: Oxford University Press, 2001

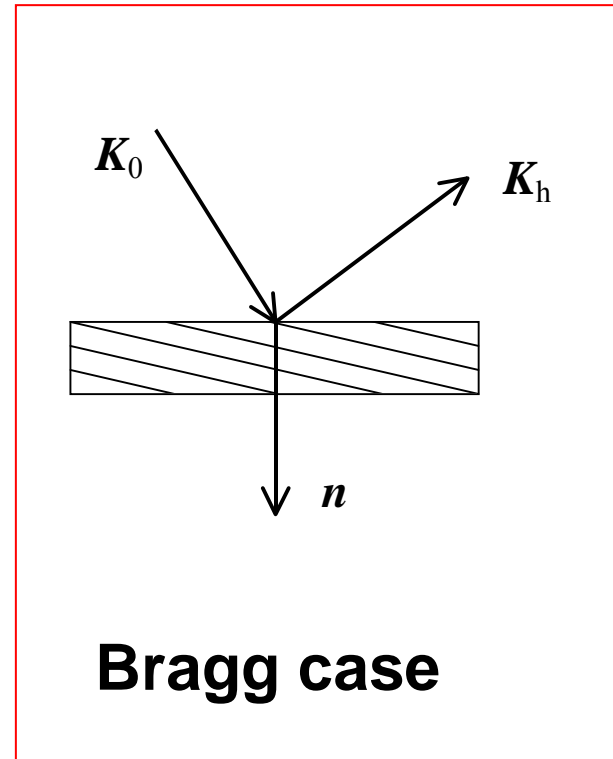
R. W. James: The Dynamical Theory of X-Ray Diffraction, in Solid State Physics (Seitz and  
Turnbull) vol.15 (1963), Academic Press

M. von Laue: *Roentgenstrahlen Interferenzen* , 1941

# Laue case and Bragg case



**Laue case**



**Bragg case**

# Reflectivity

reflectivity for Bragg case, no absorption, and thick crystal:

$$\left\{ \begin{array}{l} R = \frac{|\gamma_h|}{\gamma_0} \left| \frac{E_h}{E_0} \right|^2 = \left( W + \sqrt{W^2 - 1} \right)^2 \quad (W < -1) \\ R = 1 \quad (-1 \leq W \leq 1) \quad \leftarrow \text{Total reflection} \\ R = \left( W - \sqrt{W^2 - 1} \right)^2 \quad (W > 1) \end{array} \right.$$

$$\chi_{hr} = \frac{e^2 \lambda^2}{\pi m c^2 V} F_{hr} e^{-M}$$

$$\chi_{0r} = \frac{e^2 \lambda^2}{\pi m c^2 V} F_{0r}$$

For symmetric Bragg case, sigma polarization:

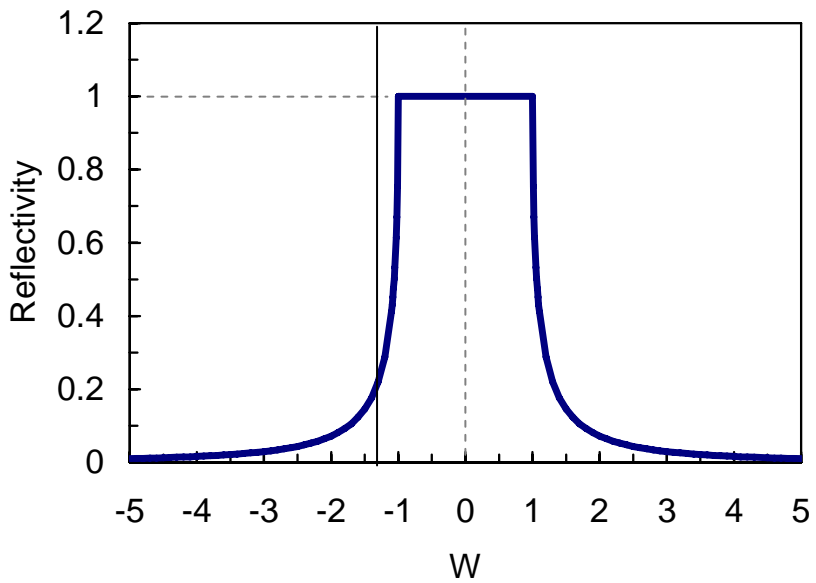
$$W = \left\{ \Delta \theta \sin 2\theta_{BK} + \chi_{0r} \right\} \frac{1}{|\chi_{hr}|}$$

Darwin width  $\rightarrow \Delta W = 2$

$$\omega = \frac{2|\chi_{hr}|}{\sin 2\theta_{BK}} \propto |F_h|$$

Shift of Bragg angle due to refraction:

$$\Delta \theta_{refraction} = - \frac{\chi_{0r}}{\sin 2\theta_{BK}}$$



# Silicon single crystal, $E = 10$ keV

hkl	Bragg angle (degree)	$\omega$ (arc sec)	$\omega$ ( $\mu$ rad)
111	11.403	5.476	26.55
220	18.836	3.984	19.32
311	22.246	2.273	11.02
400	27.167	2.495	12.10
422	34.001	1.886	9.142
333	36.379	1.228	5.952
440	40.22	1.543	7.479

$\chi$ 0h on the web!!!

<http://sergey.gmca.aps.anl.gov/x0h.html>



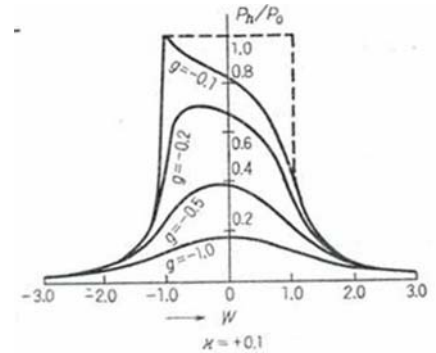
For thick absorbing crystal in the Bragg-case (reflection geometry), the reflectivity is given by

$$R = L - \sqrt{L^2 - (1 + 4\kappa^2)}$$

$$L = \sqrt{(W^2 - 1 - g^2)^2 + 4(gW - \kappa)^2} + W^2 + g^2$$

$$\kappa = \frac{\chi_{hi}}{\chi_{hr}}$$

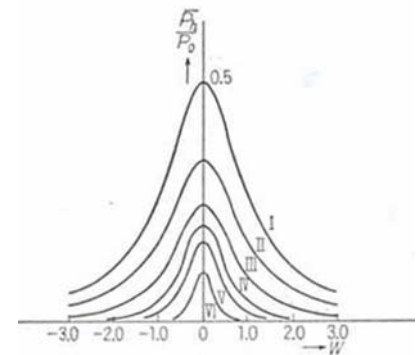
$$g = \frac{\chi_{0i}}{|\chi_{hr}|}$$



For thin absorbing crystal of the Laue-case (transmission geometry), the reflectivity is given by

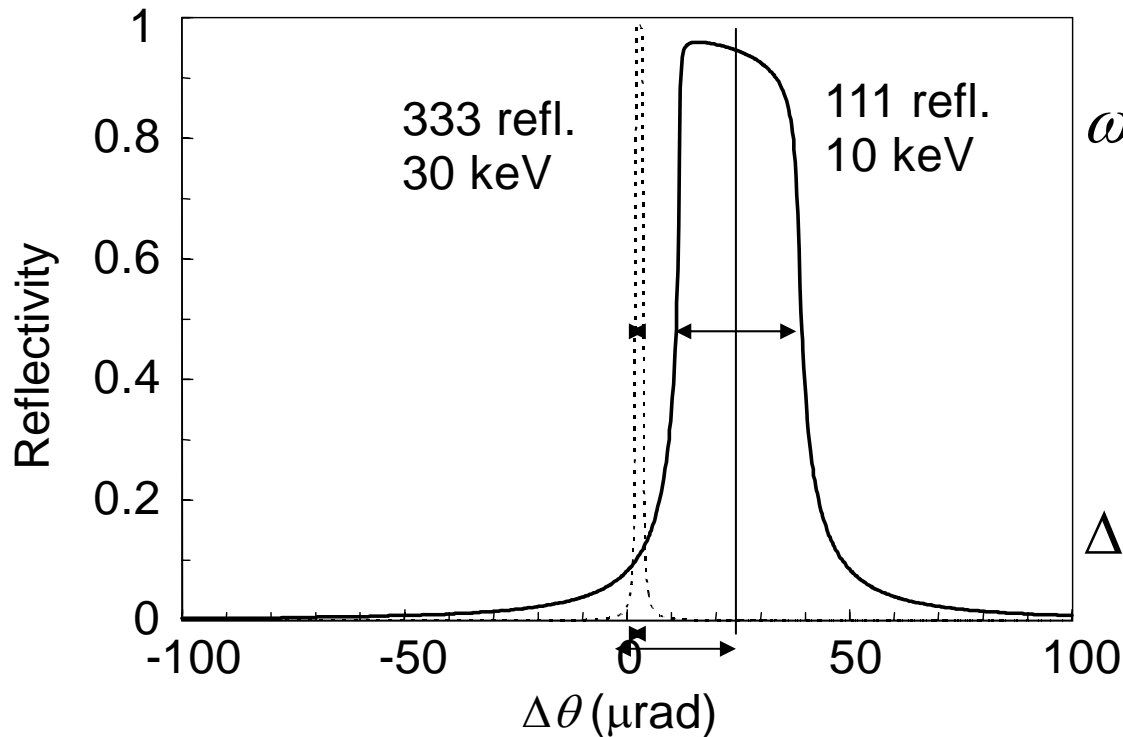
$$R_T = \frac{\exp(-\mu t / \gamma)}{(1 + W^2)} \left[ \sin^2(A\sqrt{1 + W^2}) + \sinh^2 \frac{\kappa A}{\sqrt{1 + W^2}} \right]$$

$$A = \pi k |\chi_{hr}| t / \gamma$$



# Intrinsic rocking curve for silicon

Based on the dynamical theory for perfect crystal  
for thick crystal and absorption considered:



$$\omega = \frac{2|\chi_{hr}|}{\sin 2\theta_{BK}}$$

$$\chi_{hr} = \frac{e^2 \lambda^2}{\pi m c^2 V} F_{hr} e^{-M}$$

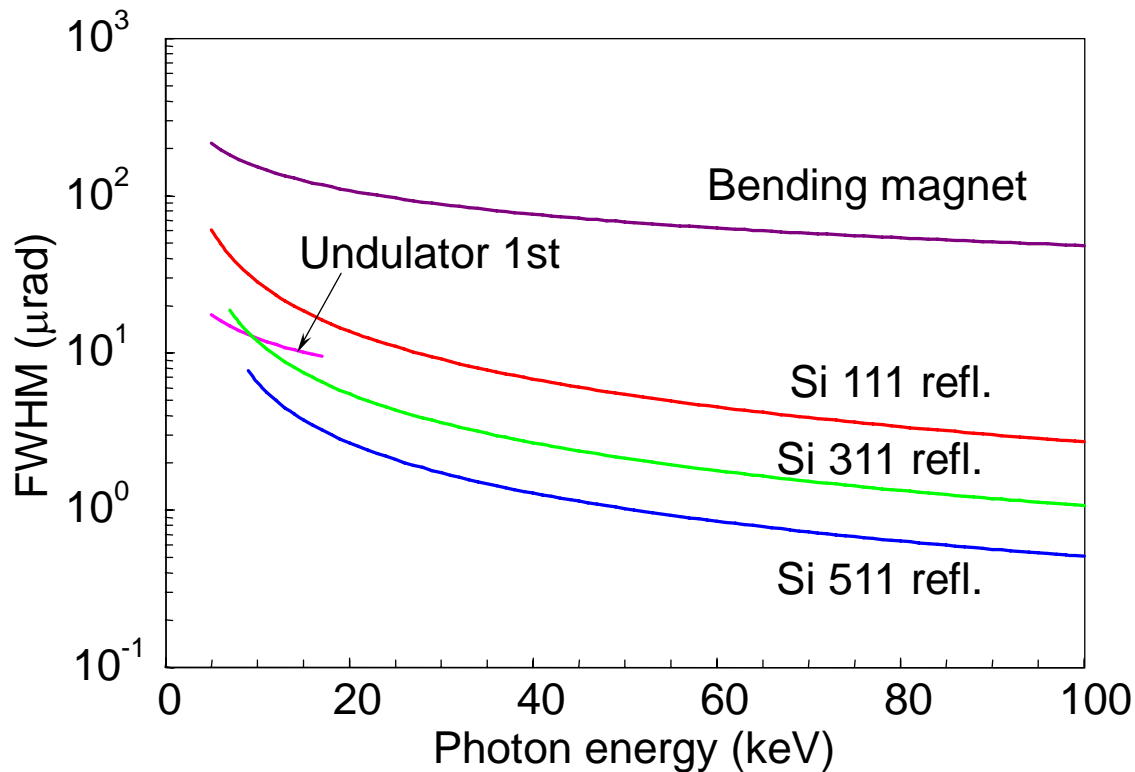
$$\Delta\theta_{refraction} = -\frac{\chi_{0r}}{\sin 2\theta_{BK}}$$

$$\chi_{0r} = \frac{e^2 \lambda^2}{\pi m c^2 V} F_{0r}$$

Features:

- Diffraction width (Darwin width) of  $0.1 \sim 100 \mu\text{rad}$
- Peak reflectivity of  $\sim 1$  for low absorption case

# Angular divergence of sources and diffraction width



SPring-8 bending magnet

$$\sigma_r \approx \frac{1}{\gamma} \approx 60 \mu\text{rad}$$

Undulator ( $N=140$ )

$$\sigma_r \approx \frac{1}{\gamma\sqrt{N}} \approx 5 \mu\text{rad}$$

Divergence of undulator radiation is the same order as diffraction width of low order reflection.

# Energy resolution

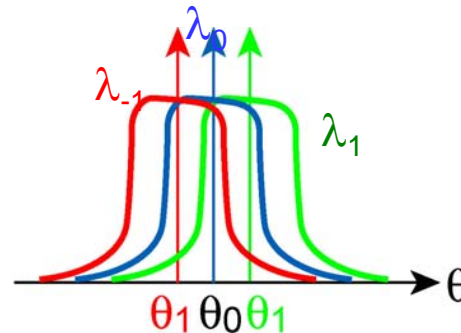
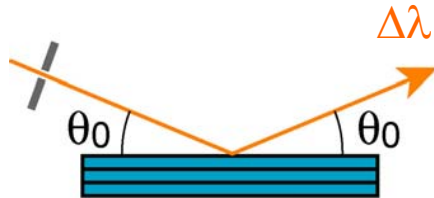
$$\Delta E/E = 10^{-5} \sim 10^{-3}$$

$$\frac{\Delta E}{E} = \cot \theta_B \sqrt{\omega^2 + \Delta\theta^2}$$

$\omega$ : intrinsic angular width of diffraction

$\Delta\theta$ : angular divergence of X-ray beam

(1)  $\omega$



$$2d \sin(\theta_0) = \lambda_0$$

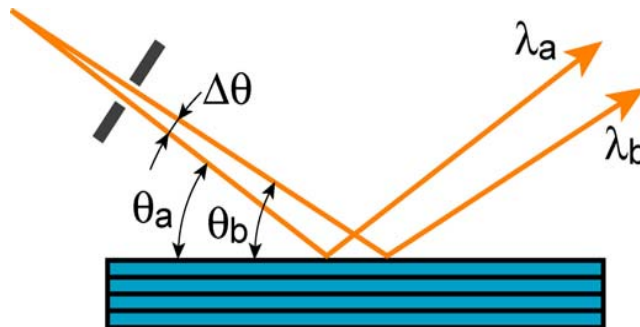
$$2d \sin(\theta_{-1}) = \lambda_{-1}$$

$$2d \sin(\theta_1) = \lambda_1$$

For Si 111,  $\omega = 2.66 \times 10^{-5}$  rad at 10 keV

$$\Delta E/E = \omega \cot \theta = 2.66 \times 10^{-5} \times \cot(11.4^\circ) = 1.32 \times 10^{-4}$$

(2)  $\Delta\theta$



source-to-slit distance = 30 m

slit width = 1 mm

$$\Delta\theta = 3.3 \times 10^{-5}$$

Si 111, 10 keV:  $\theta = 11.4^\circ$

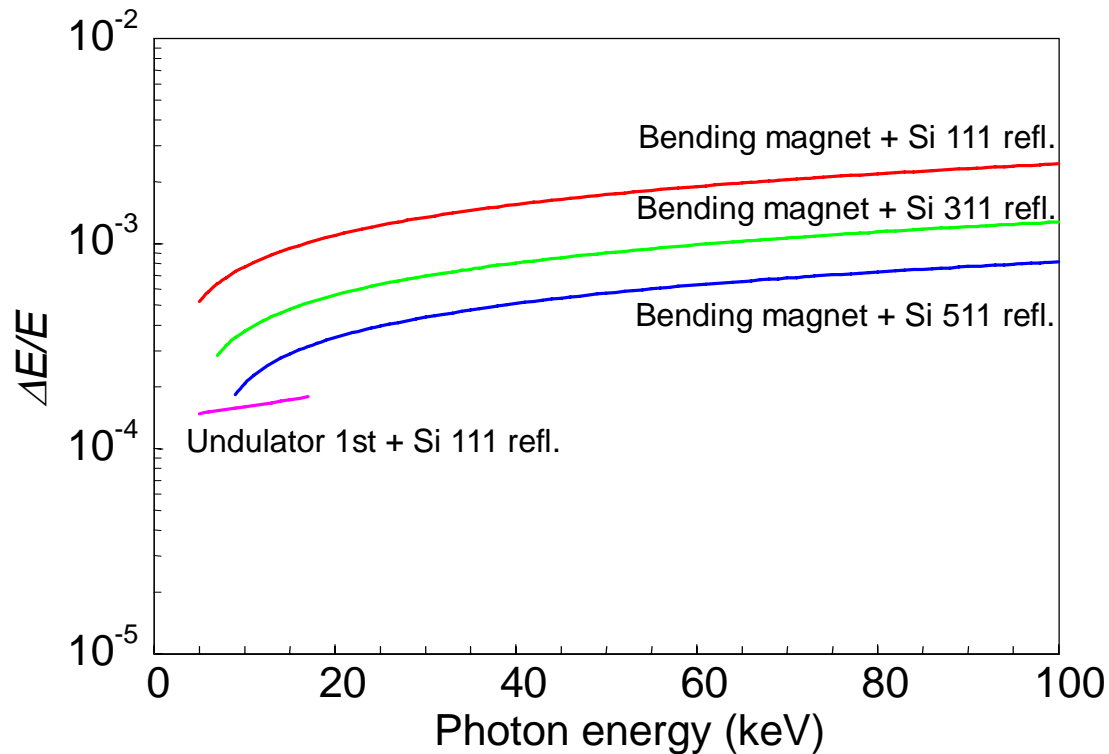
$$\Delta E/E = \Delta\theta \cot \theta = 1.6 \times 10^{-4}$$

# Energy resolution

$$\frac{\Delta E}{E} = \cot \theta_B \sqrt{\Omega^2 + \omega^2}$$

$\Omega$ : divergence of source

$\omega$ : diffraction width



Using slit, collimator mirror,.. we can reduce  $\Omega_{\text{eff}}$ ,

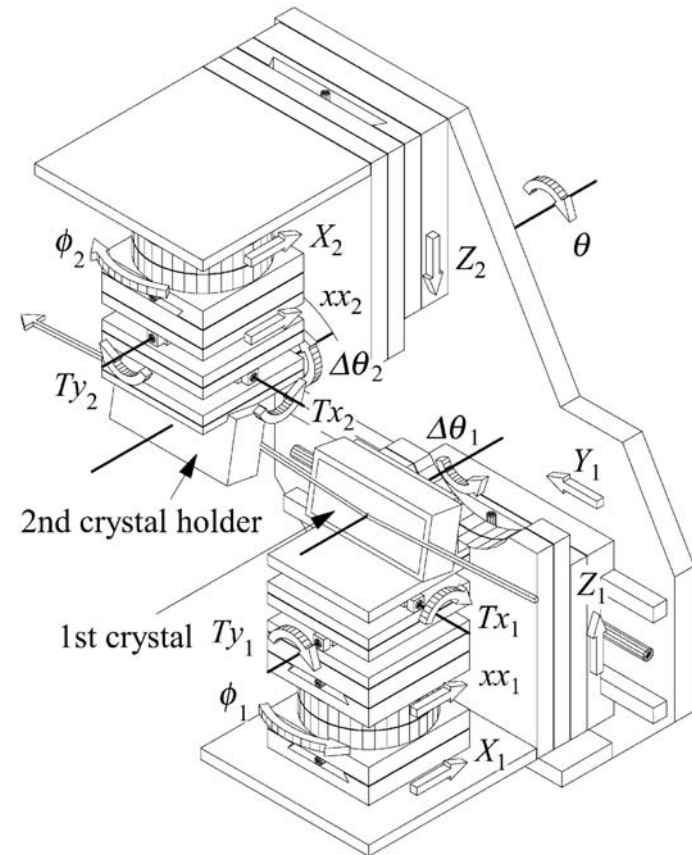
$$\Delta E/E = 10^{-5} \sim 10^{-3}$$

# SPring-8 standard DCM



$$3^\circ < \theta_B < 27^\circ$$

Offset  $h = 30$  mm



Adjustment stages

for undulator beamline DCM

Sub-micron, sub- $\mu$ rad control

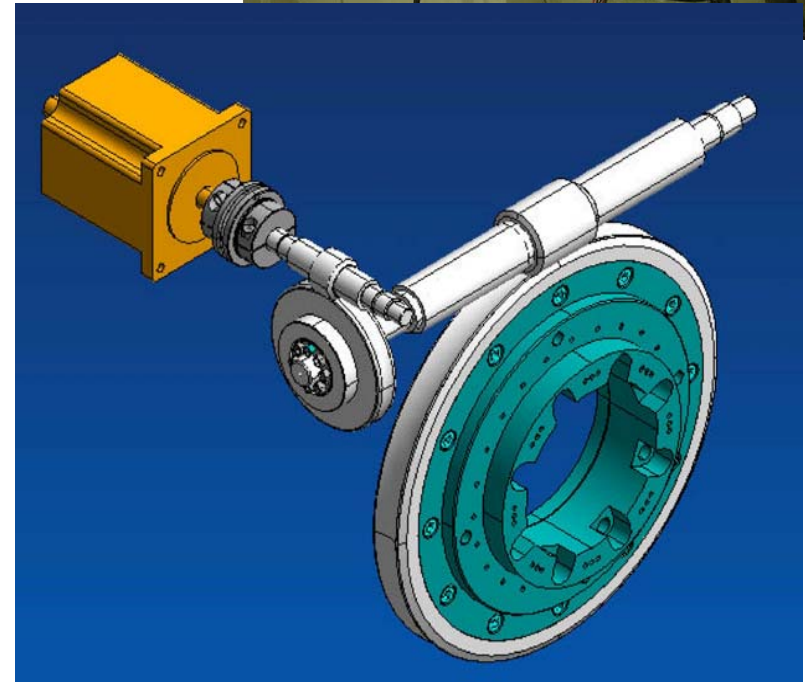
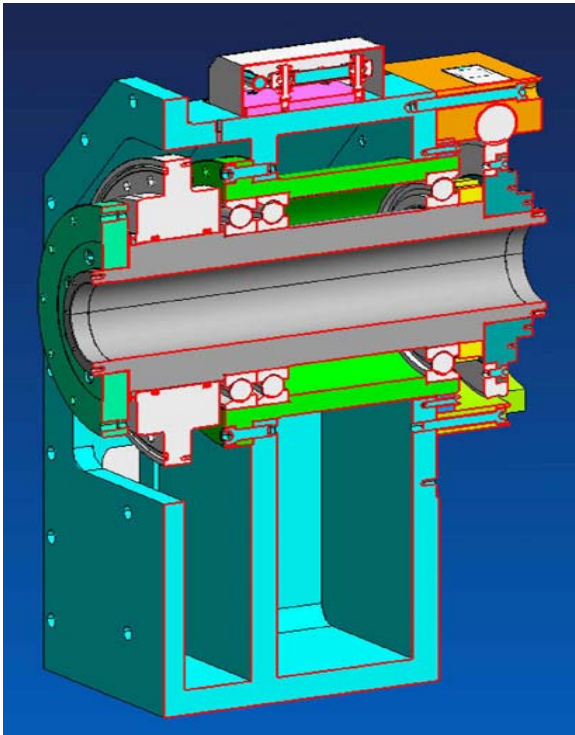
## Goniometer and angle accuracy

Energy scan (Si 111  $d = 3.119478 \text{ \AA}$ )

$E_0$ : 10.000 keV  $\theta = 11.46246^\circ$

$E_1$ : 10.001 keV  $\theta = 11.46130^\circ$

$\Delta\theta = 0.001162^\circ = 4.18 \text{ arc s} = 20.3 \text{ \mu rad}$



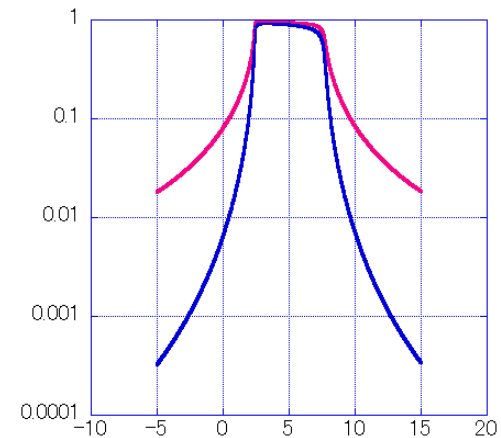
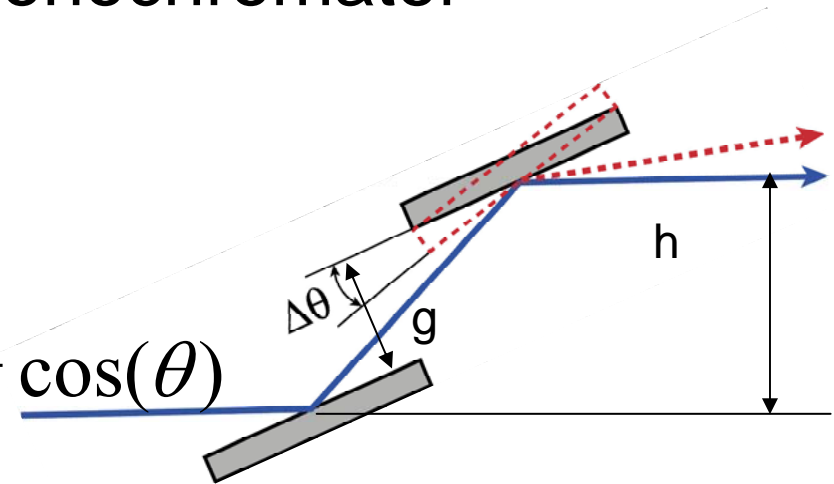
0.2 arc s / step (1  $\mu\text{rad}$  / step)

Courtesy of Kohzu Seiki Co. Ltd.

# Double crystal monochromator

- Constant beam direction
- beam height changes slightly when E is changed.
- Two crystals should be parallel to each other within sub-arc-seconds.
- Tail intensity falls off rapidly.

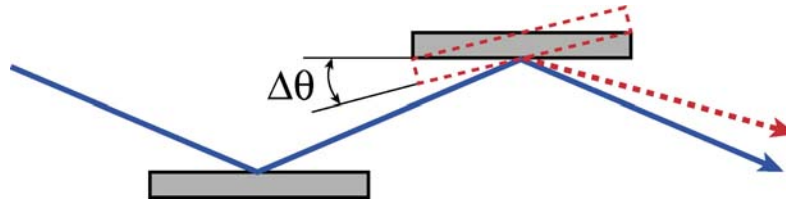
$$h = 2g \cos(\theta)$$



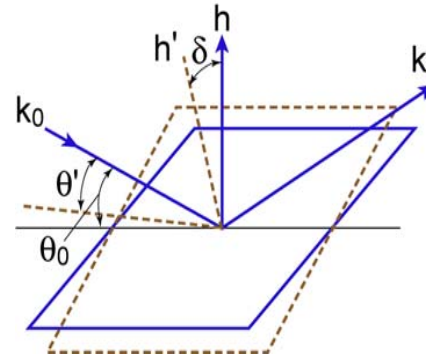
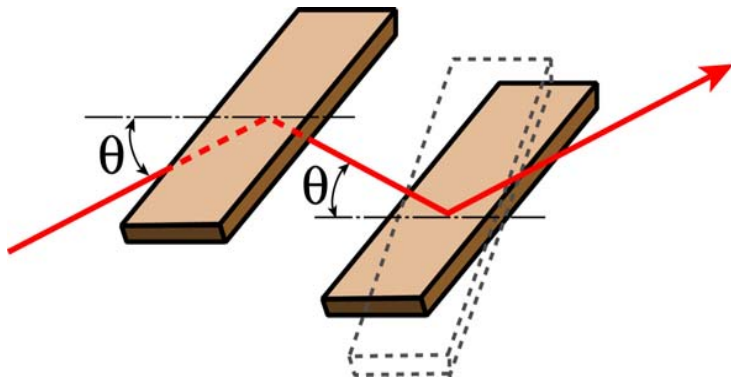


# Alignment of a double crystal monochromator

(1) Parallelity in the scattering plane: sub- $\mu$ rad

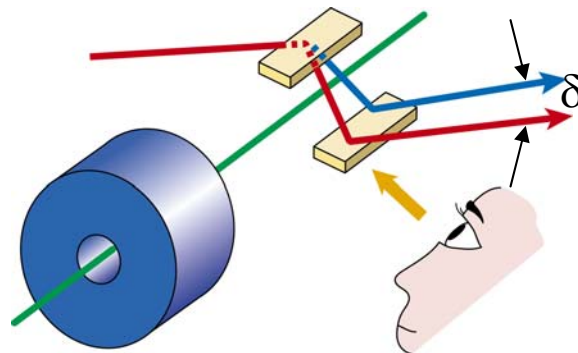


(2) Parallelity normal to the scattering plane:  $\sim 10^{-3} - 10^{-4}$  rad

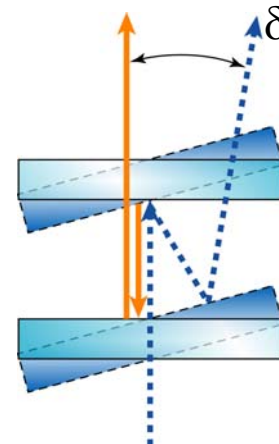


$$\Delta\theta = -\frac{\phi^2}{2} \tan\theta$$

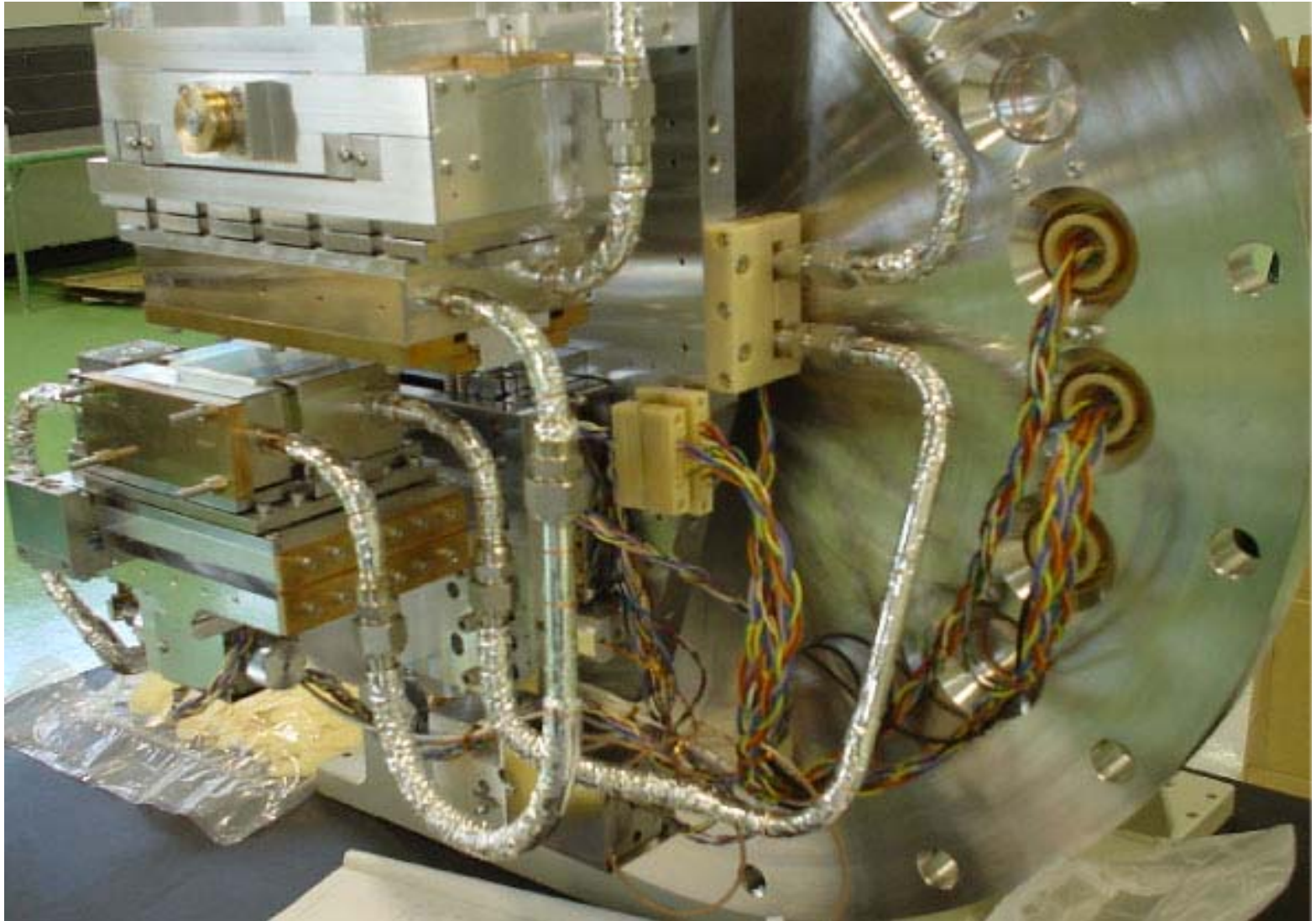
(3) Parallelity to the rotating axis :



$\psi: 10^{-3} - 10^{-4}$



$$\delta = 2\psi \sin\theta$$



Courtesy of Kohzu Seiki Co. Ltd.

# Stability and disturbances

## Variation at the sample

- Energy :  
0.1 eV for XAFS,  
sub-meV for high resolution inelastic scattering
- Intensity : less than 1 %.
- Beam position: typically several tens  $\sim 1 \mu\text{m}$

## Source instability

Source size change, source position change, charge distribution change

## Room temperature variation

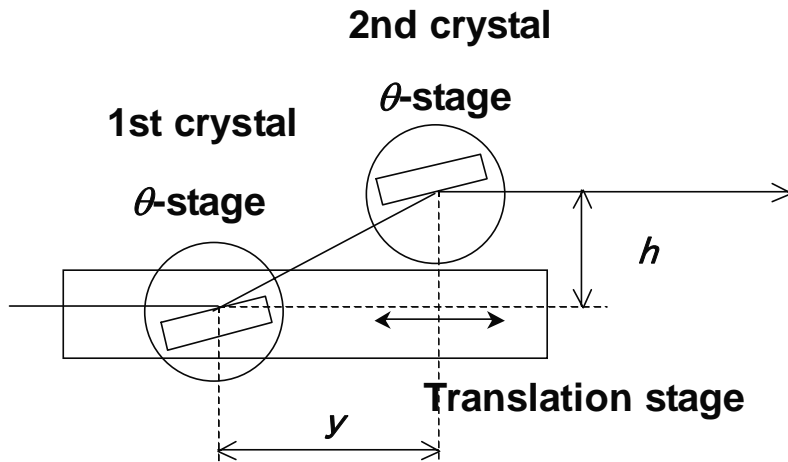
Heat load by the radiation itself

## Vibration

floor, cooling water, vacuum pump

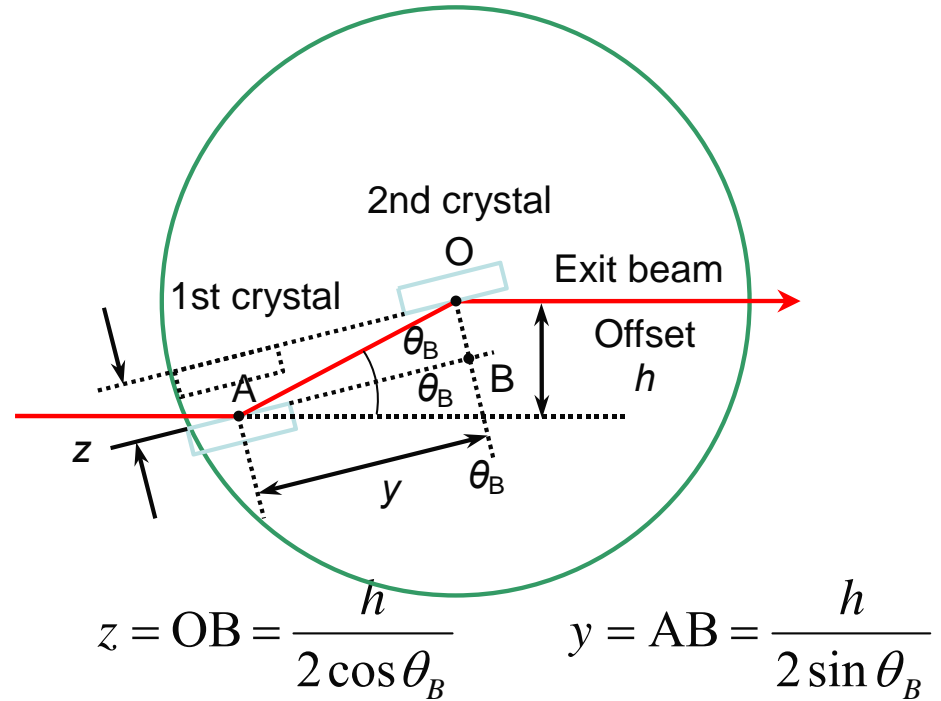
# Fixed-exit DCM

(1)  $\theta_1 + \text{translation} + \theta_2$  link



$$y = \frac{h}{\tan 2\theta_B}$$

(2)  $\theta + \text{two translation link}$

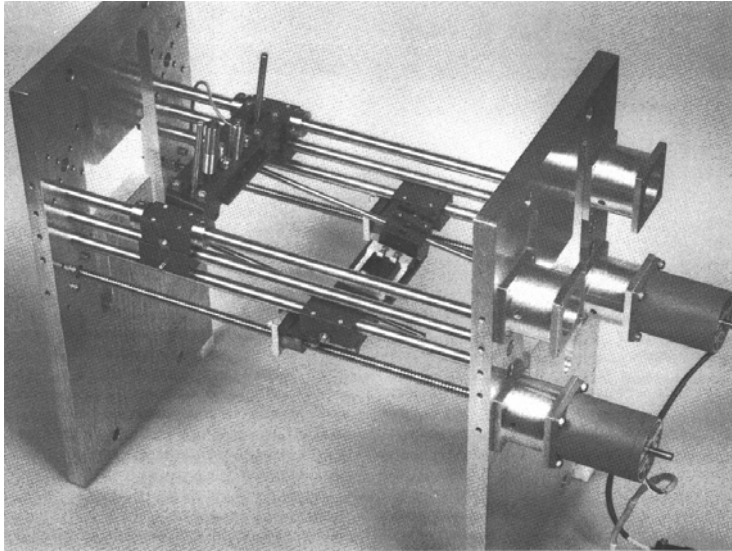


$$z = OB = \frac{h}{2 \cos \theta_B} \quad y = AB = \frac{h}{2 \sin \theta_B}$$

$$(y^2 - h^2 / 4)(z^2 - h^2 / 4) = h^4 / 16$$

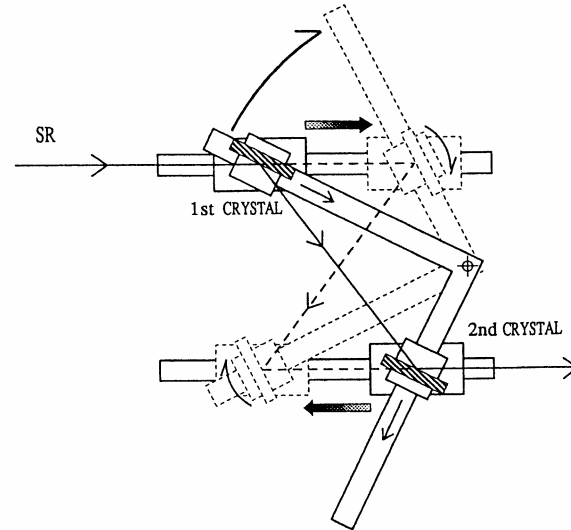
**A number of different schemes have been developed to realize a fixed-exit beam.**

# Boomerang link type



Kirkland, NIM-A291 (1990)

$h = 50 \text{ mm}$ ,  $\theta_B = 5 \sim 85^\circ$



UVSOR BL 1A, BL 7A

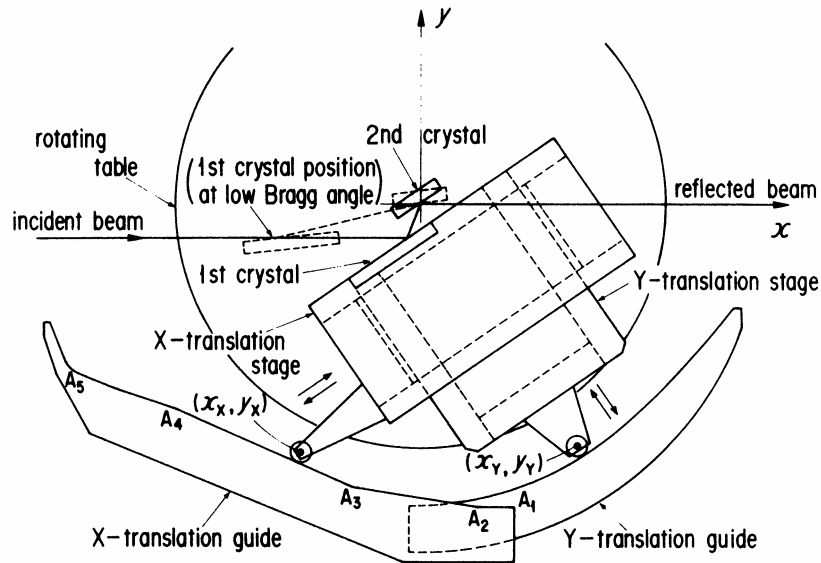
Hiraya et al., RSI 66 (1995)

$\theta_B = 18.5 \sim 71.5^\circ$

→ Difficulties for crystal cooling and multi-stage adjustment

→ Low rigidity

# $\theta$ + two translation (KEK-PF)



PF BL-4C..

Matsushita et al., NIM A246 (1986)



$h = 25 \text{ mm}$ ,  $\theta_B = 5 \sim 70^\circ$

Two cams for two translation-stages

Rotation center at 2<sup>nd</sup> crystal

# Heat load on 1<sup>st</sup> Crystal

Heat load on the monochromator 1<sup>st</sup> crystal:

→ For SPring-8 bending magnet source

**100 W & 1 W/mm<sup>2</sup>**

→ For SPring-8 standard undulator source

**~500 W & ~ 500 W/mm<sup>2</sup>**

cf. Hot plate : ~ 0.02 W/mm<sup>2</sup>

CPU : ~ 0.3 W/mm<sup>2</sup>

# Crystal cooling

Why crystal cooling ?

$Q_{in}$  (Heat load by SR) =  $Q_{out}$  (Cooling + Radiation,..)

→ with temperature rise  $\Delta T$

→  $\alpha \Delta T = \Delta d$  ( $d$ -spacing change)

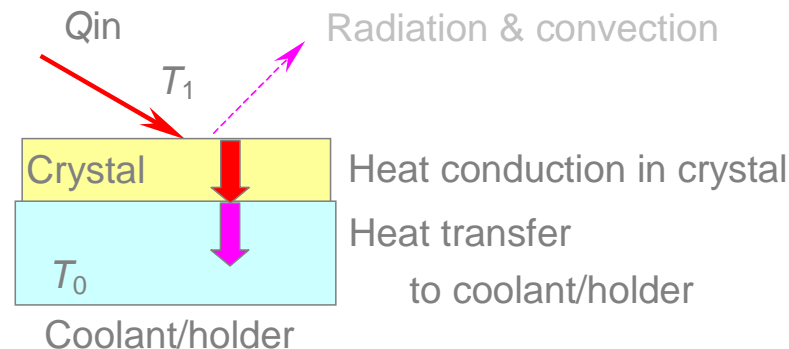
$\alpha$ : thermal expansion coefficient

or →  $\Delta \theta$  (bump of lattice due to heat load)

**Miss-matching between 1st and 2nd crystals occurs:**

→ Thermal drift, Loss of intensity, Broadening of beam, loss of brightness

→ Melting or limit of thermal strain → **Broken !**





# Solution for crystal cooling

**We must consider:**

**Thermal expansion of crystal:  $\alpha$ ,**

**Thermal conductivity in crystal:  $\kappa$ ,**

**Heat transfer to coolant and crystal holder.**

**Solutions:**

**(S-1)  $\kappa / \alpha \rightarrow$  Larger**

**(S-2) Large contact area between crystal and coolant/holder  
 $\rightarrow$  larger**

**(S-3) Irradiation area  $\rightarrow$  Larger, and power density  $\rightarrow$  smaller**

# Figure of merit

---

	<b>Silicon</b>	<b>Silicon</b>	<b>Diamond</b>	<b>Copper</b>
	<b>300 K</b>	<b>80 K</b>	<b>300 K</b>	<b>300 K</b>
$\kappa$ (W/m/K)	150	1000	2000	401
$\alpha$ (1/K)	$2.5 \times 10^{-6}$	$-5 \times 10^{-7}$	$1 \times 10^{-6}$	$16.5 \times 10^{-6}$
$\kappa / \alpha \times 10^6$	60	2000	2000	24

---

Figure of merit of cooling:  
Good for silicon (80 k) and diamond (300 K)

# For SPring-8 case

## Bending magnet beamline

Power and density :  $\sim 100$  W,  $\sim 1$  W/mm<sup>2</sup> @40 m

Method:

→ Direct cooling with fin crystal ← S-2

## Undulator beamline

(Linear undulator,  $N= 140$ ,  $\lambda u= 32$  mm)

Power and density :  $\sim 500$  W ,  $\sim 500$  W/mm<sup>2</sup> @40 m

Methods:

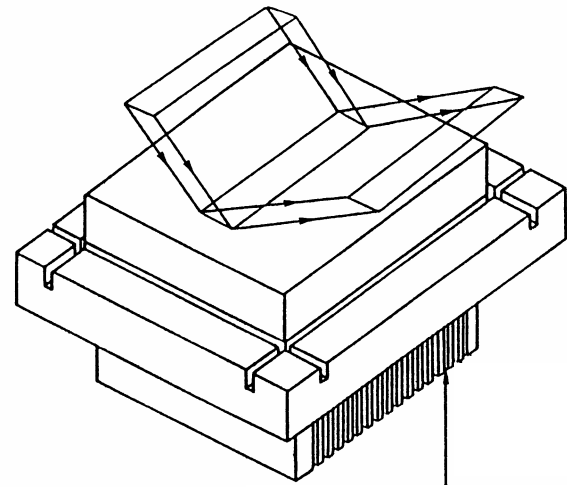
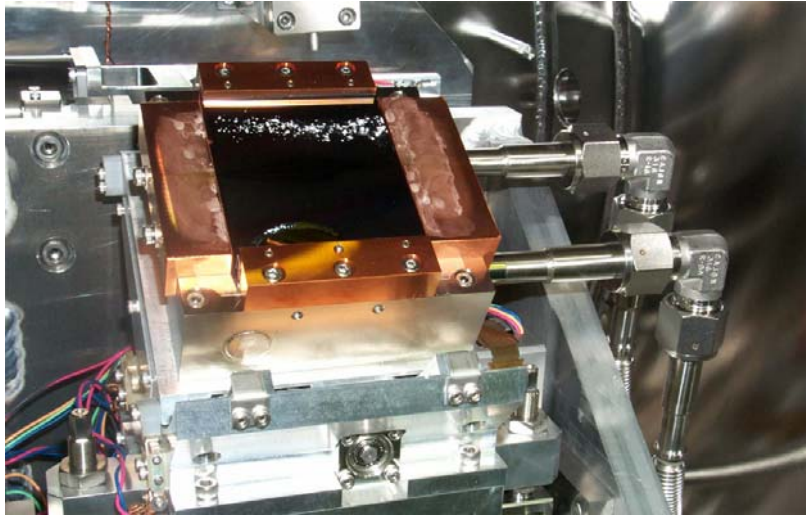
→ Direct cooling of silicon pin-post crystal ← S-2

+ Rotated inclined geometry ( $\rightarrow 10$  W/mm<sup>2</sup>) ← S-3

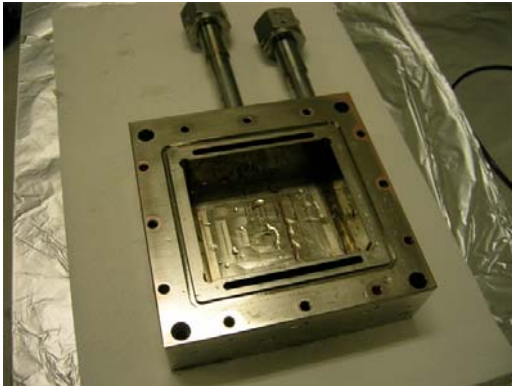
→ or Cryogenic cooling using LN<sub>2</sub> circulation ← S-1

→ or Indirect cooling of Ila diamond crystal ← S-1

# Direct cooling with fin crystal



Fins with  
Inserted metal



**Applied to bending magnet beamline**

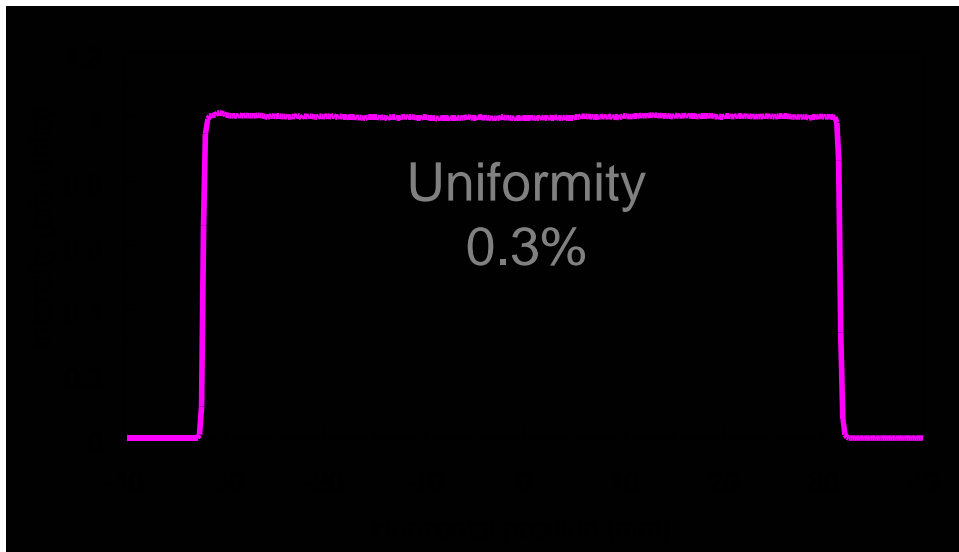
# Performance of fin crystal (1)



Si 111 refl.



Si 333 refl.



$h\nu = 25$  keV

Si 111 refl.

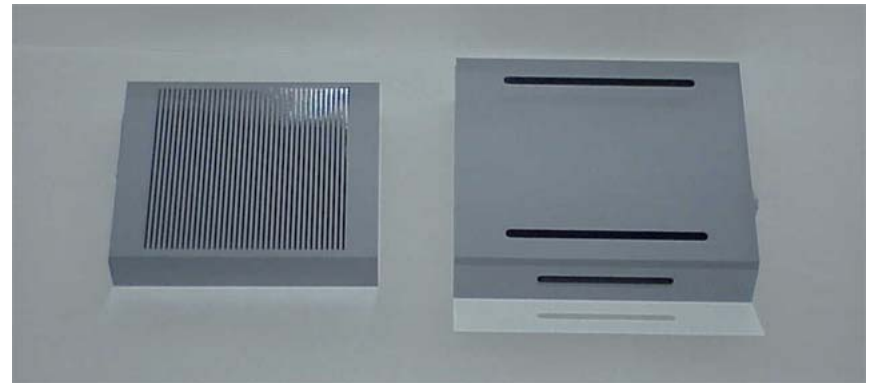
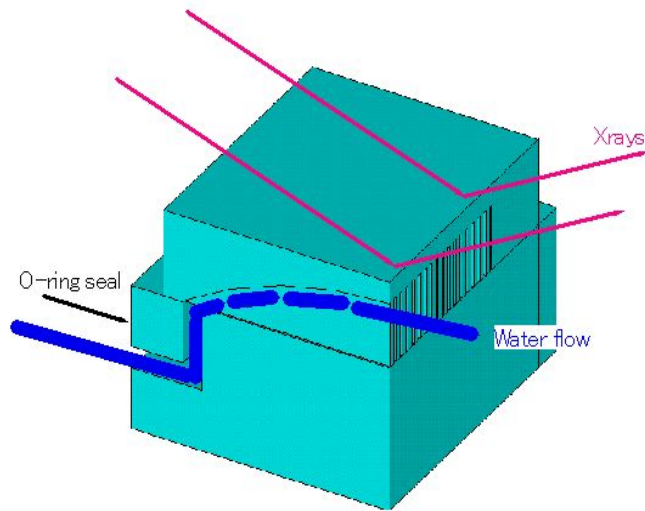
Ring current = 1 mA

Mechanical deformation removed

# Direct cooling with fin crystal

## Improvement of fin-cooling crystal

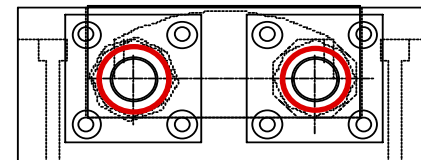
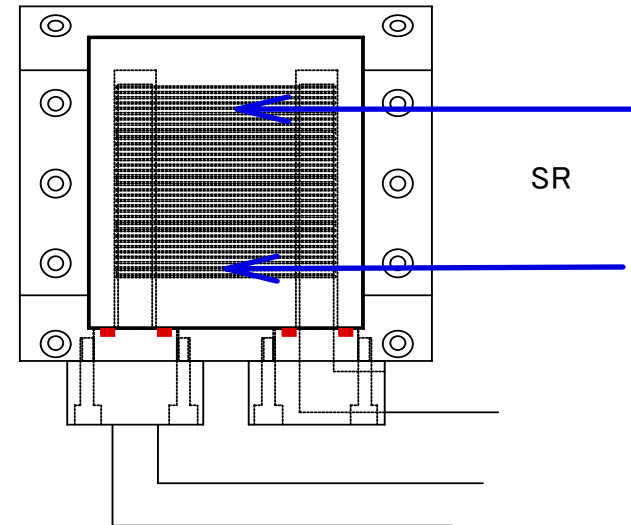
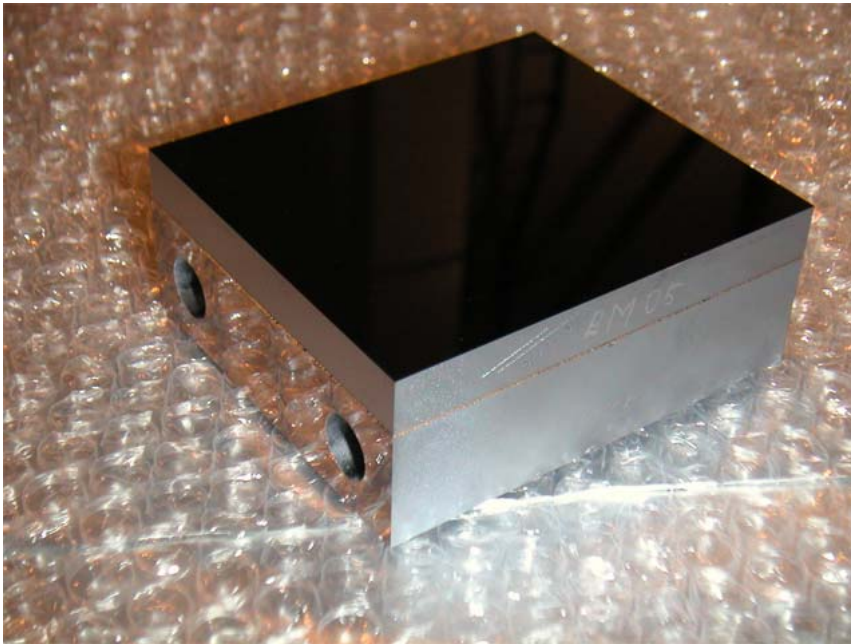
Reduce radiation damage of rubber O-ring



**Au-Si eutectic  
bonding**

# Direct cooling with fin crystal

Reduce radiation damage of rubber O-ring



# Performance of fin crystal (2)

X-ray image for Si 311 refl.



$$\theta_B = 5^\circ, E = 43.4 \text{ keV}$$



$$\theta_B = 10^\circ, E = 21.8 \text{ keV}$$



$$\theta_B = 20^\circ, E = 11.1 \text{ keV}$$

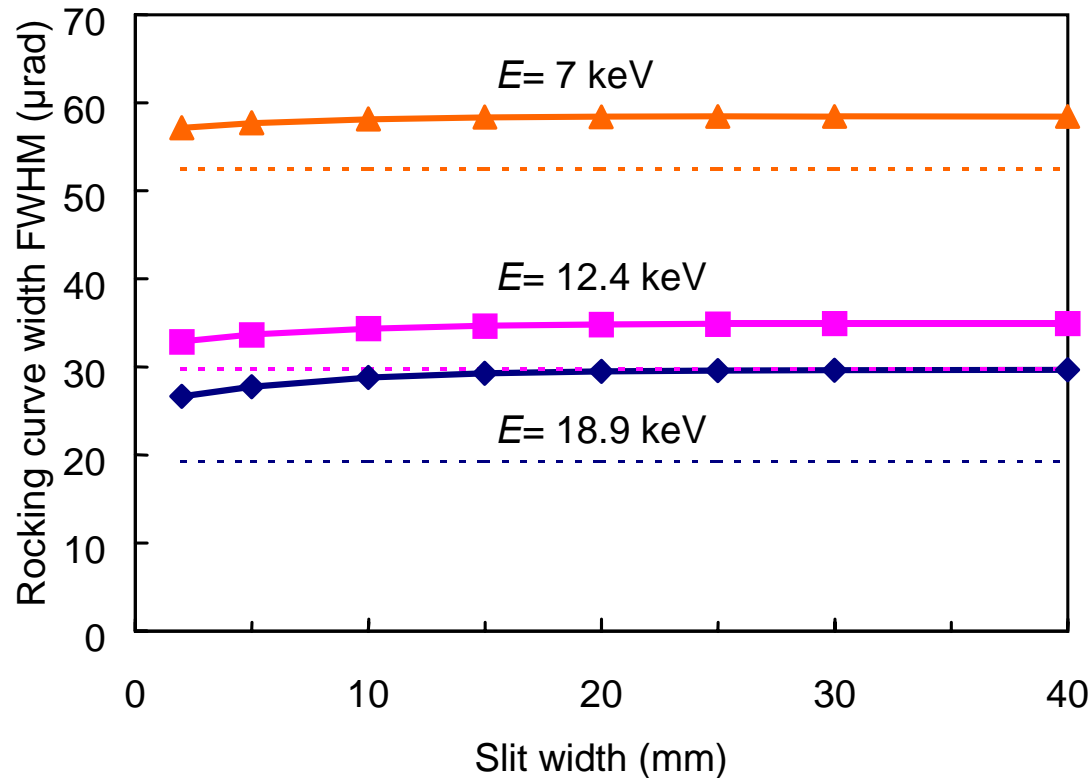
Slit:  $3 \text{ mm}^V \times 40 \text{ mm}^H$

## Current status

- Practical use both (311) and (111) crystals
- 2~4 sec. twist due to fabrication process must be reduced.
- No heat strain for (111) crystal at 12 keV photon
- Radiation damage of O-ring is improved by side-inlet.
- Durability test is under way.



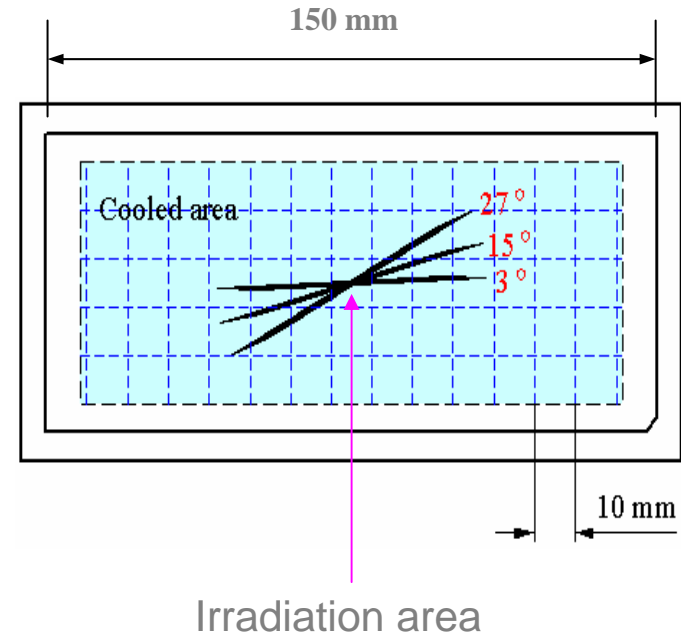
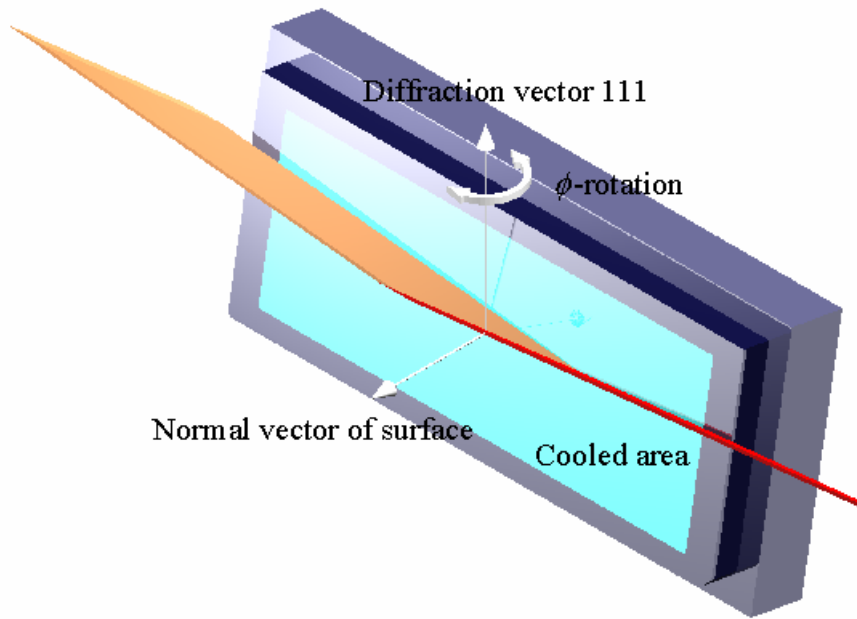
# Performance of fin crystal (3)



Si 111 refl.

Ring current= 100 mA

# Direct cooling of silicon pin-post crystal + Rotated inclined geometry



Inclination angle  $\beta = 80^\circ$

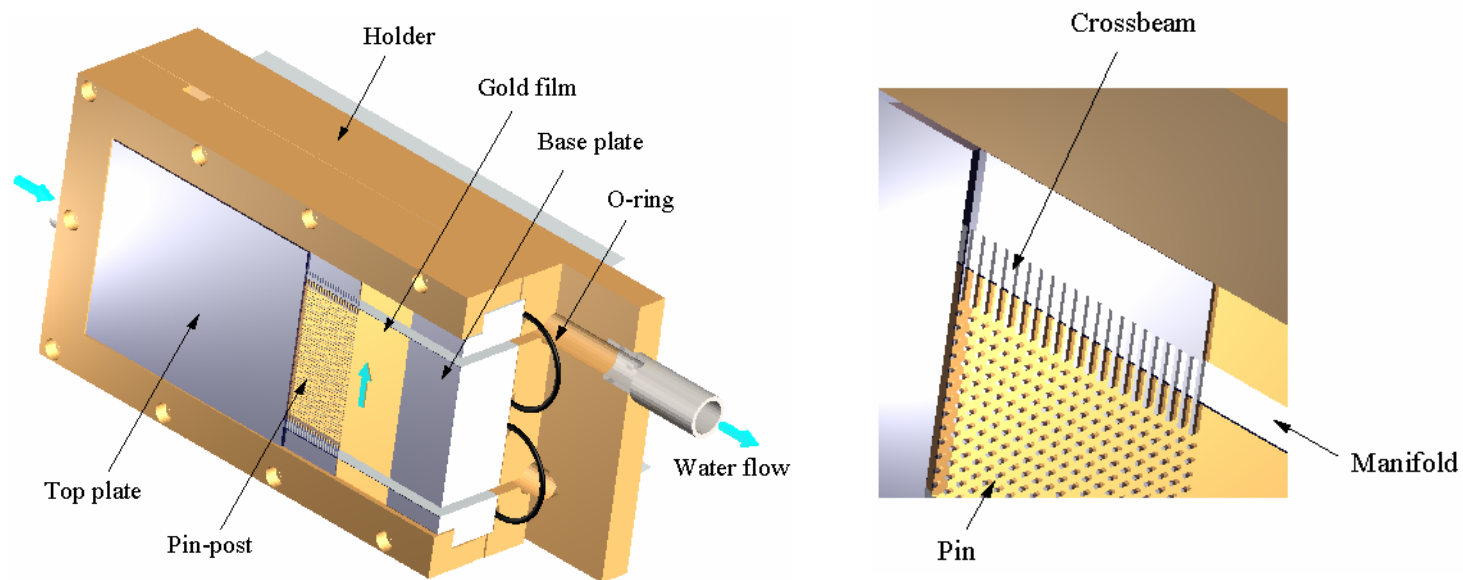
Grazing angle down to  $1^\circ$  using  $\phi$ -rotation

Irradiation area enlarged to x50, power density reduced to 1/50

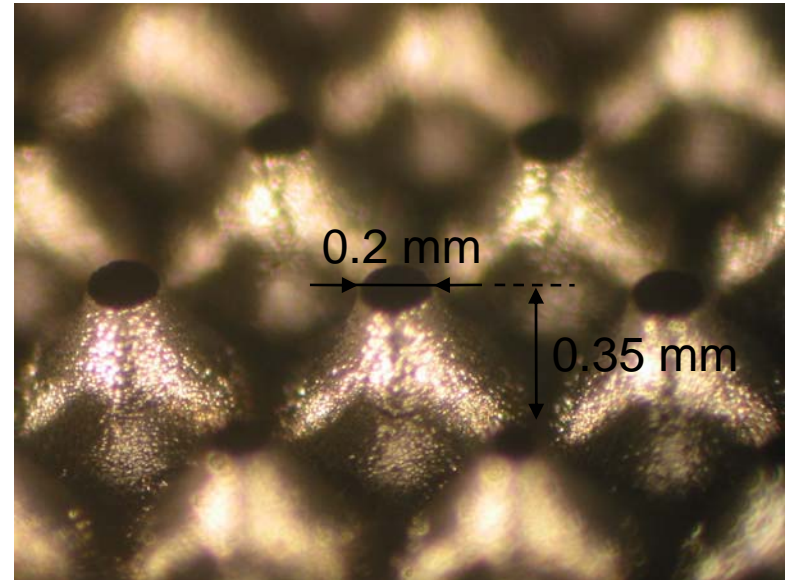
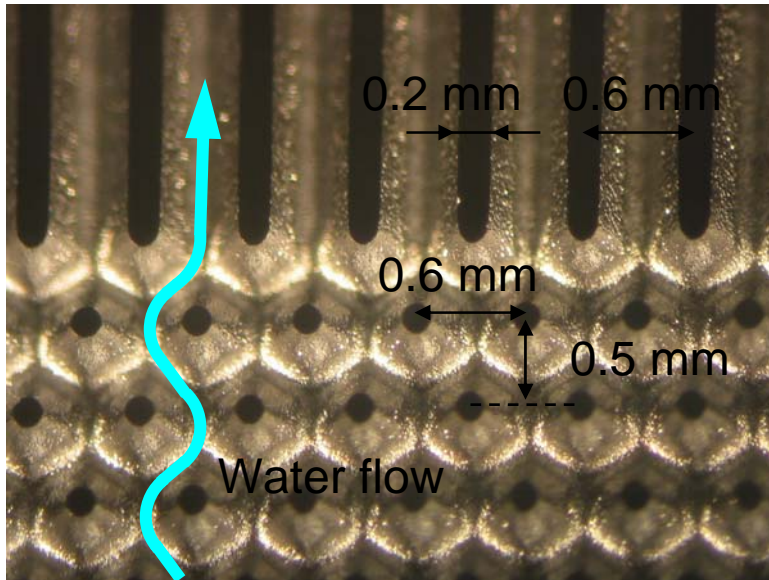
**Applied to undulator beamline**

# Structure of pin-post crystal

Top plate with pin-post is bonded to base plate (manifold) using Au-Si eutectic bonding.



# Pin-post structure



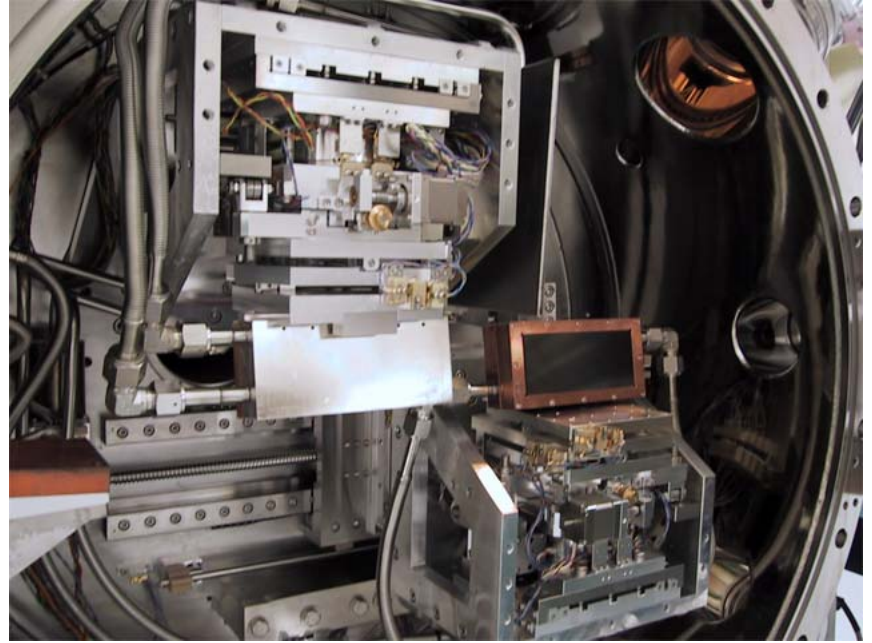
Fine pins are fabricated to increase cooling efficiency.

Limitation of sandblast

# DCM with pin-post crystal

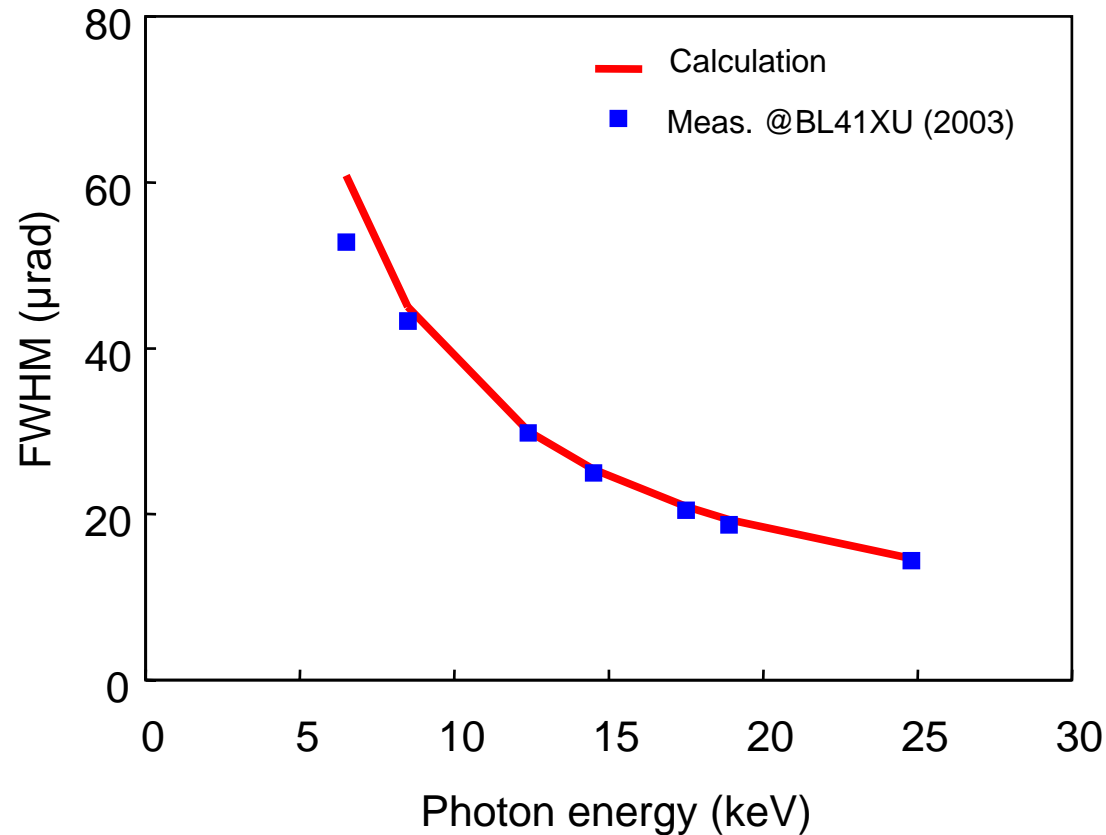


DCM view from upstream



Inside DCM: Stages + pin-post crystal

# Performance of pin-post crystal



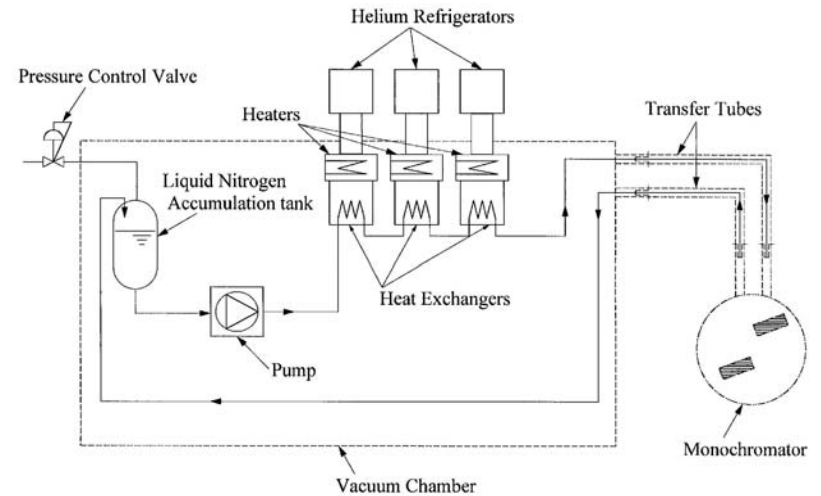
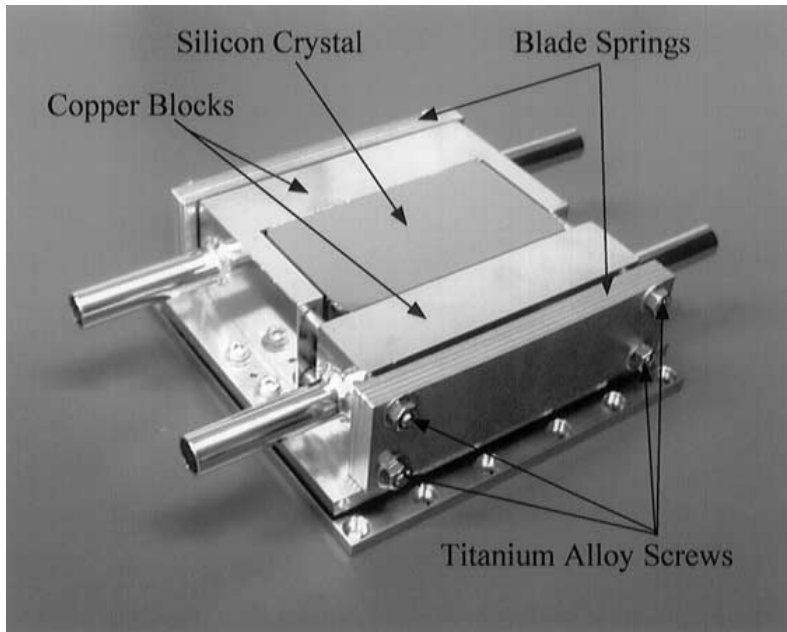
Rocking curve widths agree well.

A rocking curve is obtained by rocking (rotating) the second crystal of the double crystal arrangement and recording the diffracted intensity.

# Cryogenic cooling

LN<sub>2</sub> circulator with He refrigerator

Indirect side cooling



**Applied to undulator beamline**

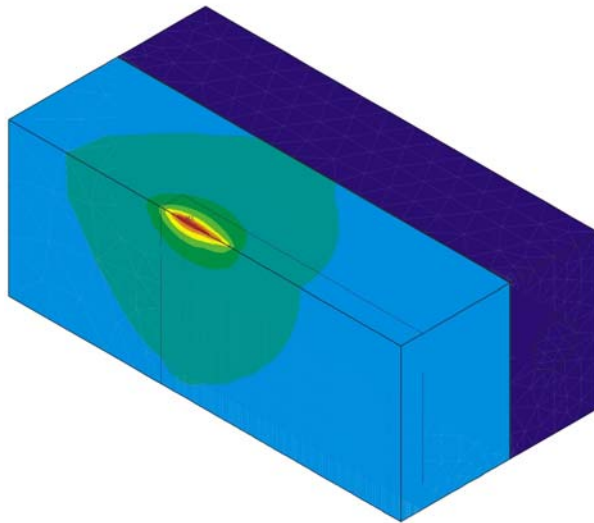
# Silicon, Liquid Nitrogen Cooling

465W,  $\theta=6.9^\circ$  25 W/mm<sup>2</sup>

Spring-8 undulator beamline

## Temperature distribution

BL29XU LN2 cooled Si Crystal Thermal Analysis



TIME=1  
TEMP (AVG)  
RSYS=0  
PowerGraphics  
EFACET=1  
AVRES=Mat  
SMN =81.895  
SMX =134.189

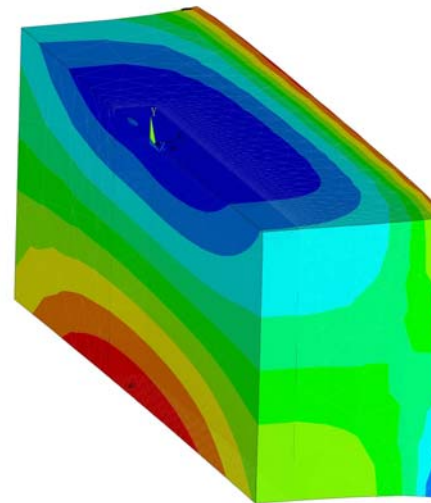
PRECISE HIDDEN

81.895
87.705
93.516
99.326
105.137
110.947 [K]
116.758
122.568
128.379
134.189

Heat Load=465W  
Heat flux = 25 W/mm<sup>2</sup>  
K=2.17(gap:9.6mm)  
E=8GeV  
I=100mA  
 $q_1=6.9^\circ$   
LN2 flow rate = 7.3 L/min.  
LN2 Temperature = 73 K  
 $h=0.0076 \text{ W/mm}^2\text{K/mm}^2\text{K}$   
 $h_{In}=0.0120\text{W/mm}^2\text{K}$

## Deformation distribution

BL29XU LN2 cooled Si Crystal Deformation Analysis / Vertical direction



UY (AVG)  
RSYS=0  
PowerGraphics  
EFACET=1  
AVRES=Mat  
DMX =.001598  
SMN =.225E-03  
SMX =.559E-03

PRECISE HIDDEN

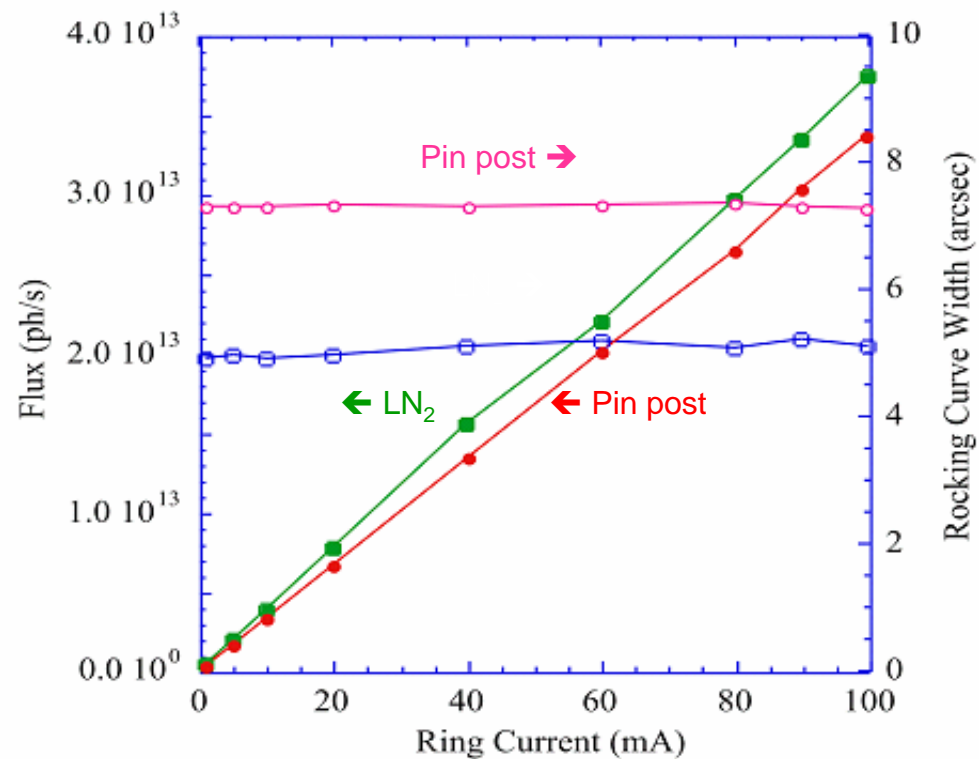
.225
.262
.299
.337
.374
.411 [μm]
.448
.485
.522
.559

LN2 flow rate = 7.3 L/min.  
LN2 Temperature = 73 K  
Heat Load = 465 W  
Heat flux = 25 W/mm<sup>2</sup>  
 $h=0.0076 \text{ W/mm}^2\text{K}$



# Performance of pin-post cooling and cryogenic cooling

Heat load test (June 2000) up to 500 W, 500 W/mm<sup>2</sup>



# Ila diamond indirect cooling

## Merit:

- Good thermal properties → Capability of indirect cooling
- Higher resolution (↔ Less throughput (30~40% of Si))

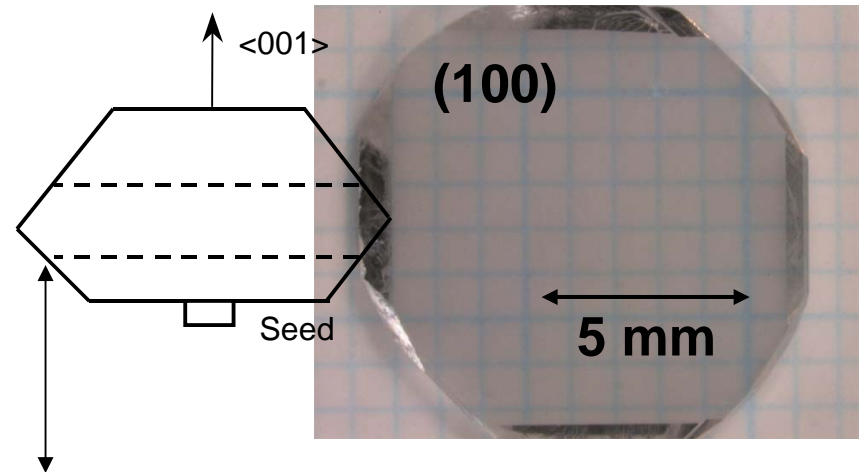
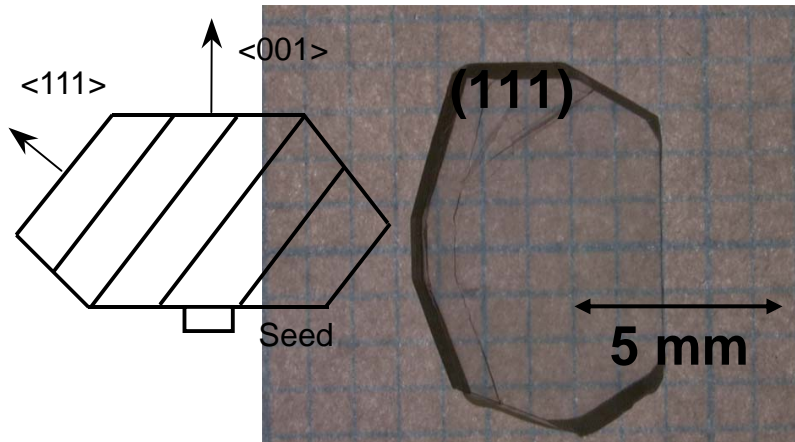
## Issues:

- Perfection of crystal → HPHT Ila diamond (Sumitomo)...

Successive upgrade is crucial !

- Holding of crystal → X-ray topograph, Zygo
- Optimization of thermal contact → New process with In insert
- Small crystal (< 10 mm<sup>2</sup>)
- Alignment: using CCD camera, PIN photodiode, thermocouple

# Ia diamond crystal

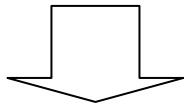


Crystal handling is crucial:  
→ Mounting without strain  
→ Alignment

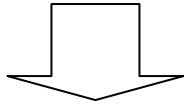
# Crystal mounting

## Thermal mounting method

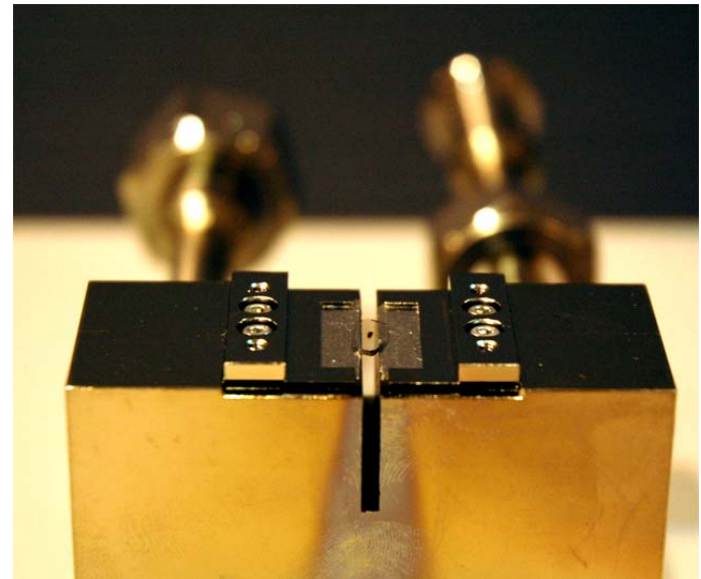
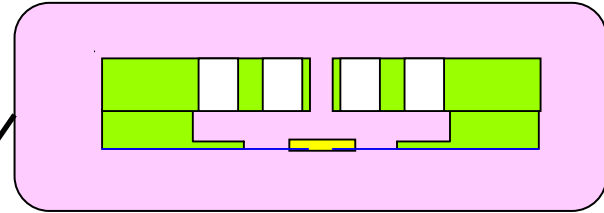
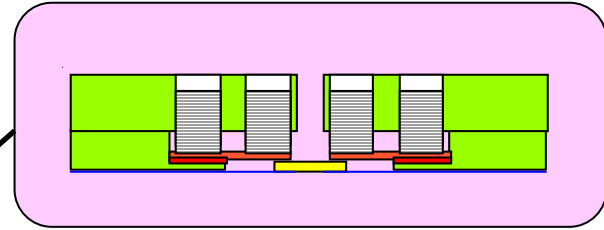
Mechanical mounting with  
SS plates and screws



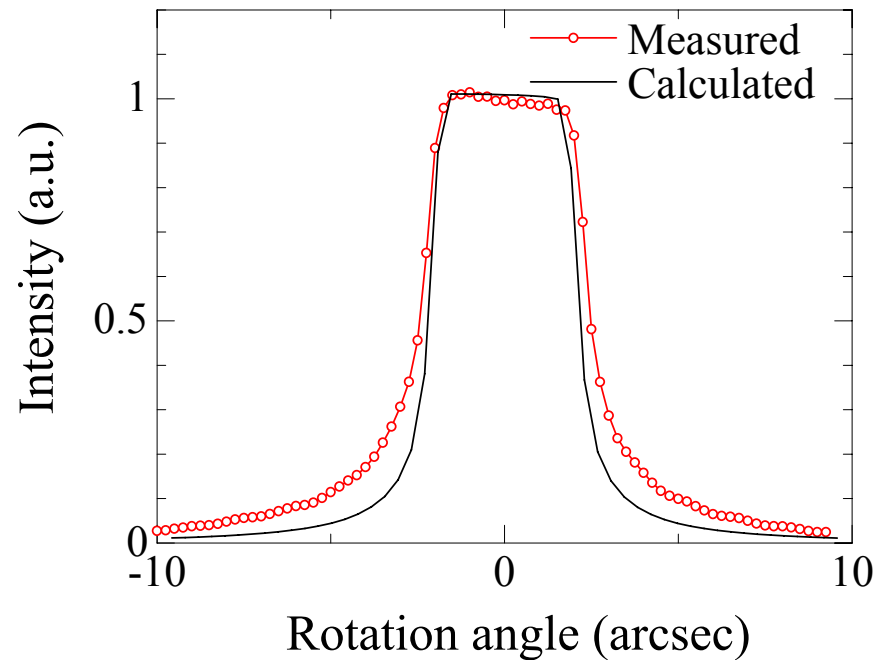
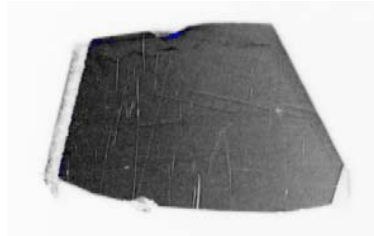
Release the screws



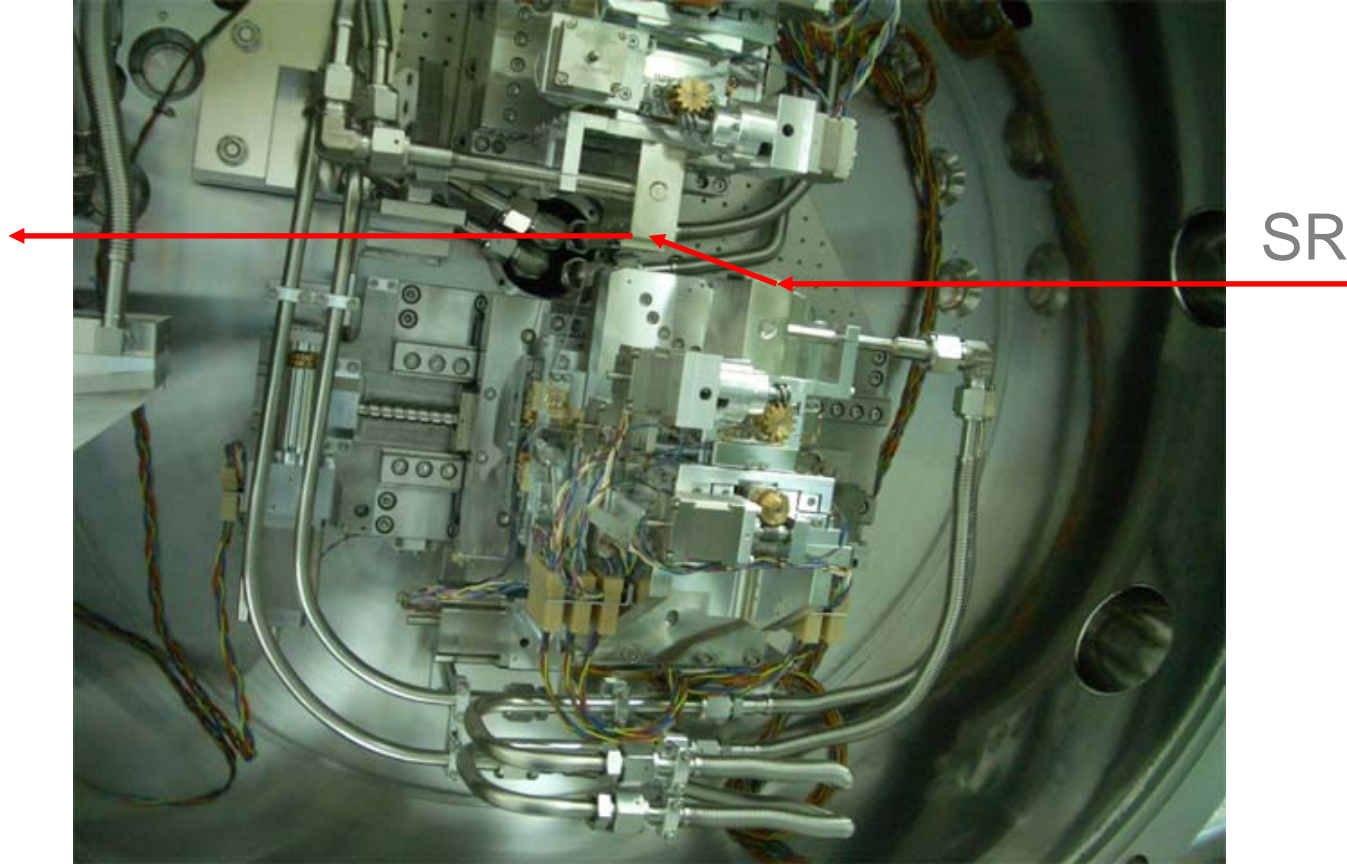
Temperature increase to  $\sim 130\text{ }^{\circ}\text{C}$   
Keep 30 min  
Decrease to room temperature



# Topograph and rocking curve

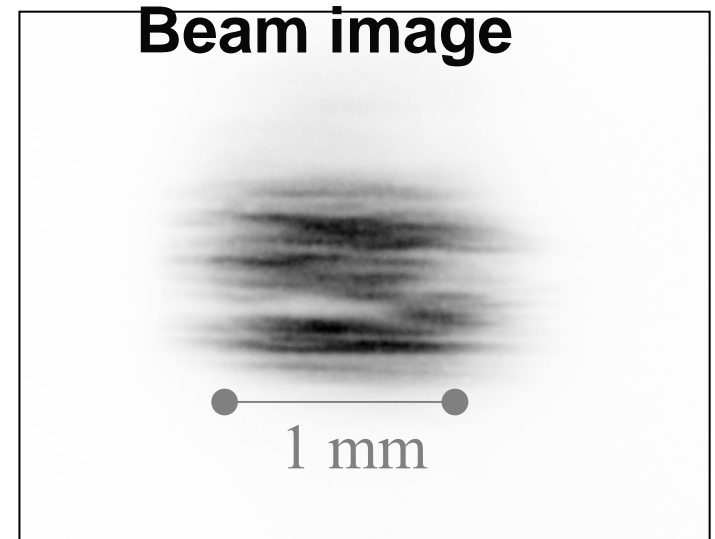
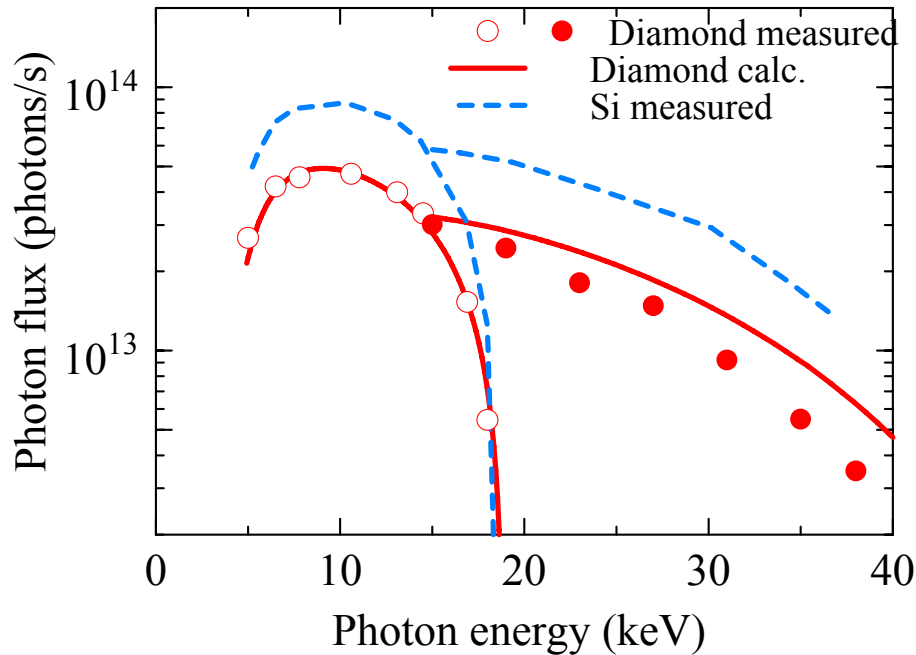


# Diamond monochromator (SPring-8 BL39XU)



# Characterization of diamond monochromator

## Photon flux



$\varepsilon = 3$  nm. rad,  $I_b = 100$  mA

Front-end slit aperture =  $0.7$  (v)  $\times$   $1.0$  (h)  $\text{mm}^2$

Low energy: 50~60% of Si DCM

High energy: Infinite size effect ?

**Improvement of crystal growth and surface finish is needed.**

# Higher harmonics rejection - total reflection mirror -

## ❑ Substrate material

Si for white radiation

SiO<sub>2</sub> for monochromatic beam

## ❑ Coating material

Pt, Rh, Ni,...

Depending on energy, reflectivity, absorption edges,...

## ❑ Glancing angle

2~10 mrad (For SPring-8 X-ray beamline)

Depending on energy, reflectivity, absorption edges,...

## ❑ Mirror length

400 mm~1 m (For SPring-8 X-ray beamline)

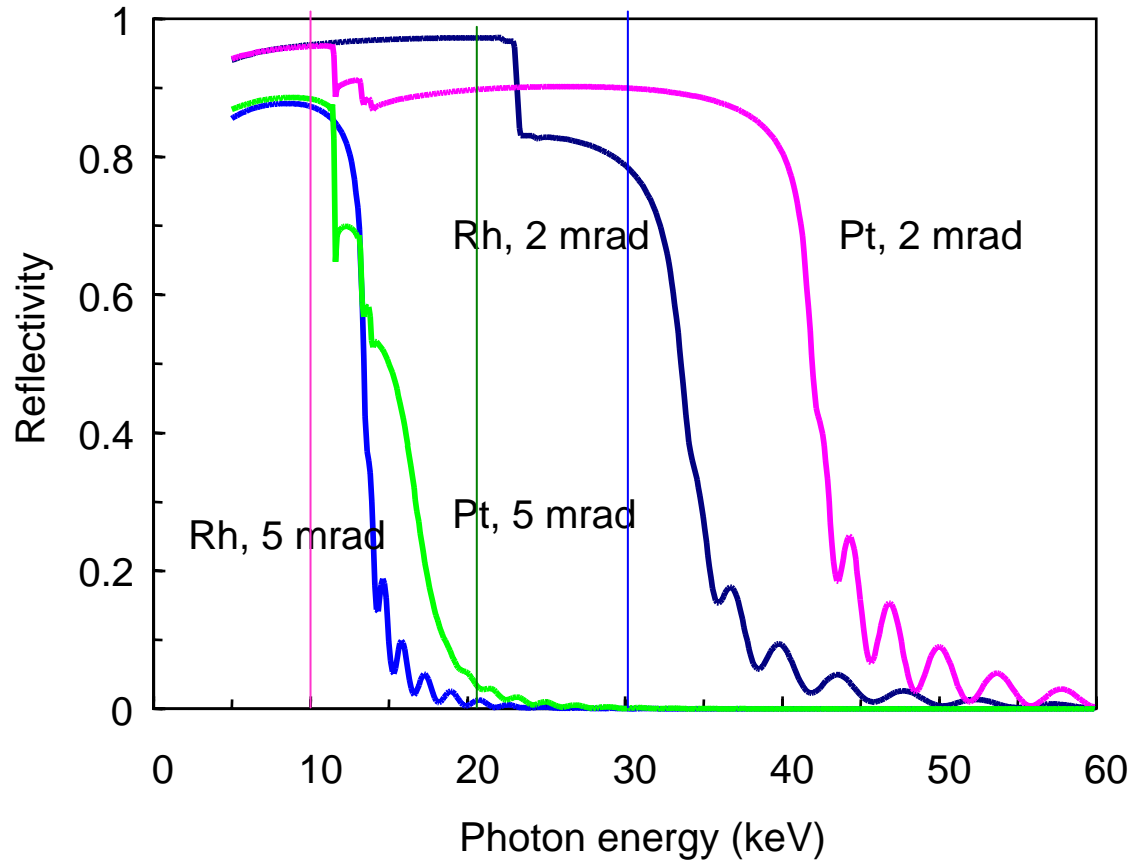
Depending on the beam size and glancing angle

e.g.  $100 \mu\text{rad} \times 50 \text{ m} / 5 \text{ mrad} = 1 \text{ m}$



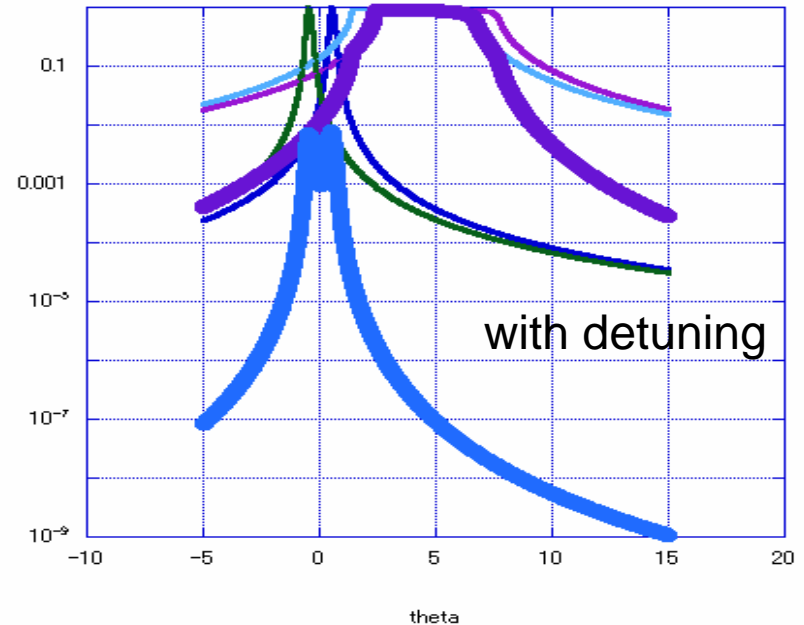
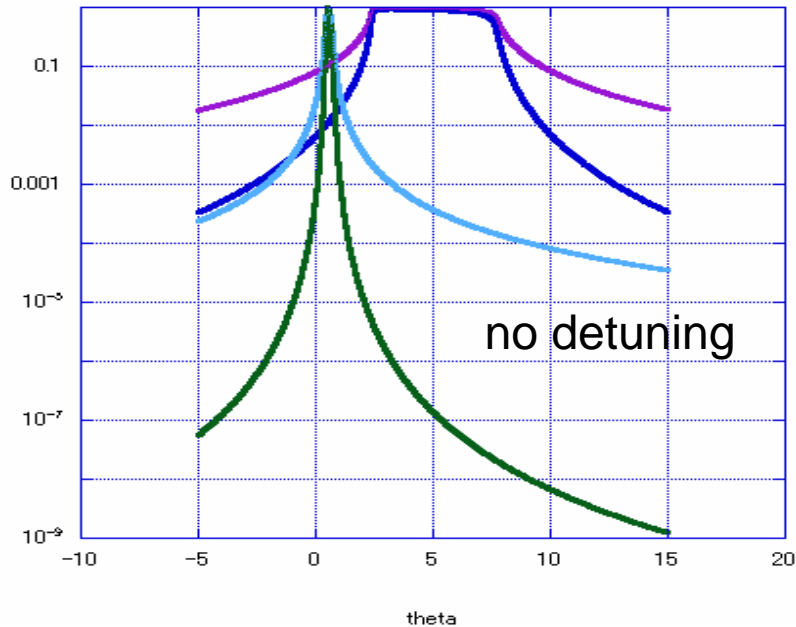


# Example of mirror reflectivity



Film thickness: 50 nm  
Surface roughness: 1 nm

# Higher harmonics rejection - Detuning of DCM -



e.g.  $\Delta\theta = 12 \mu\text{rad}$

→ 70% of peak intensity for fundamental (111 refl. @ 10 keV)

→ 0.3% of peak for 3rd harmonics (333 refl. @ 30 keV)

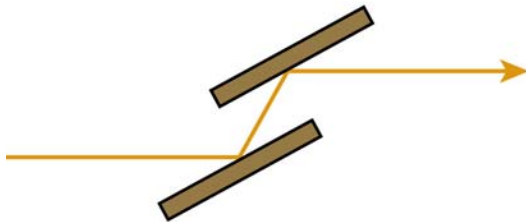
e.g.  $\Delta\theta_2 = 10 \mu\text{rad}$  → Angle change of exit beam =  $2\Delta\theta_2 = 20 \mu\text{rad}$   
Beam position change of 0.2 mm @ 10 m from DCM.

**We should recognize the beam position change by DCM detuning!**

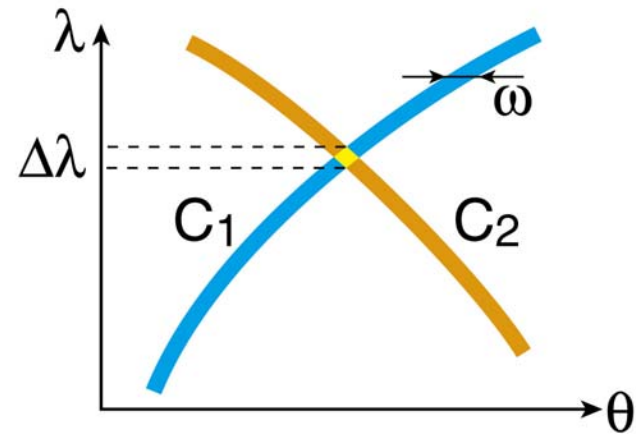
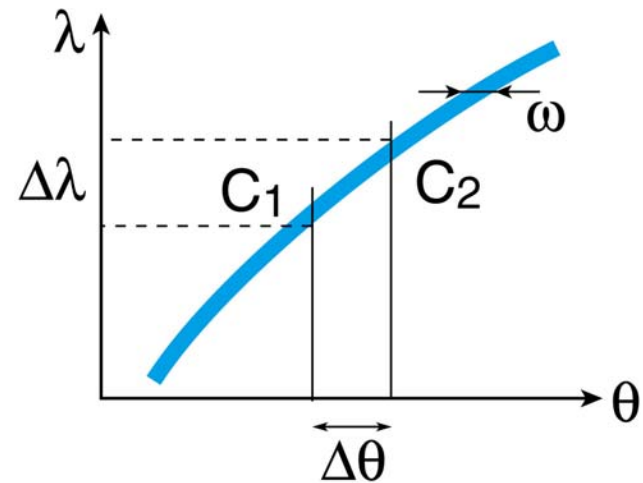
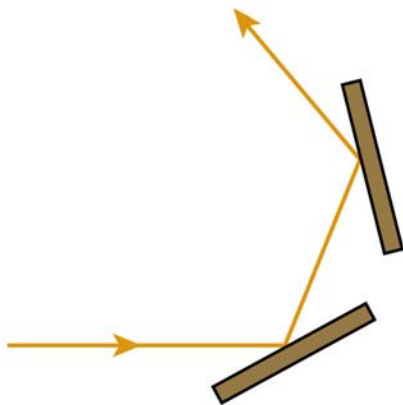
# High resolution monochromator

double crystal arrangements and the DuMond diagram

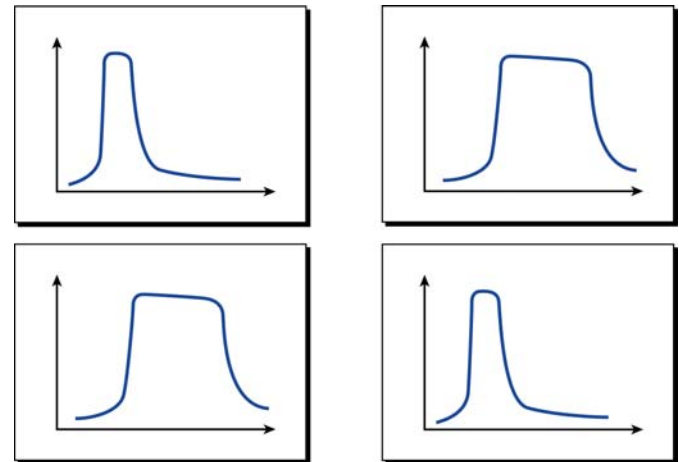
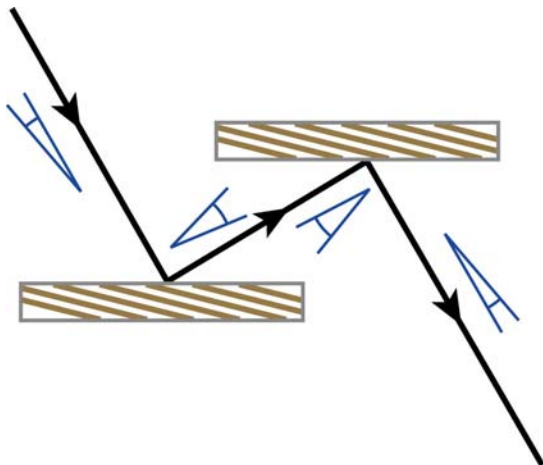
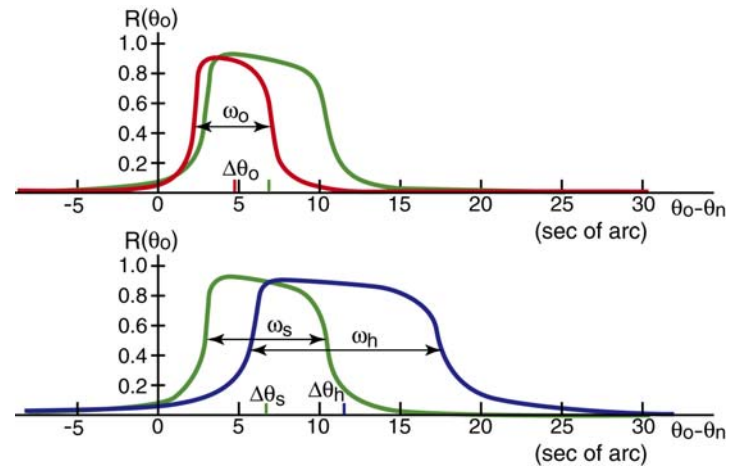
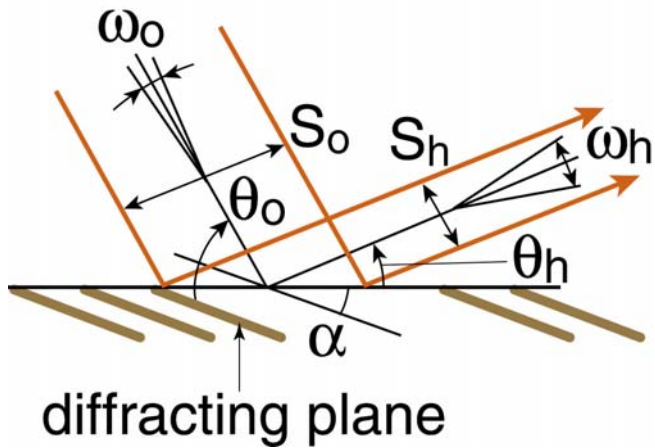
(+,-) parallel setting



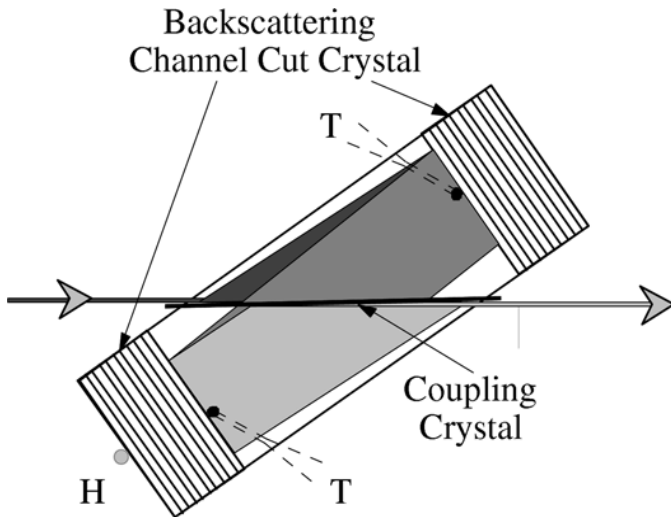
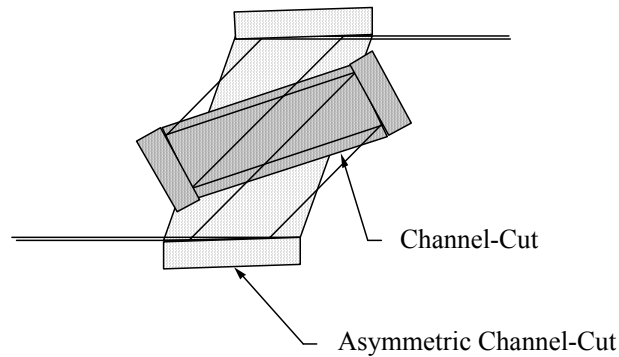
(+,+) setting



# Asymmetric diffraction for high resolution monochromator



# Extremely High-Resolution X-Ray Monochromators



Alfred Q. R. Baron,<sup>a\*</sup> Yoshikazu Tanaka,<sup>b</sup> Daisuke Ishikawa,<sup>b</sup> Daigo Miwa,<sup>b</sup> Makina Yabashia and Tetsuya Ishikawa,<sup>a,b</sup> *J. Synchrotron Rad.* (2001). 8, 1127-1130

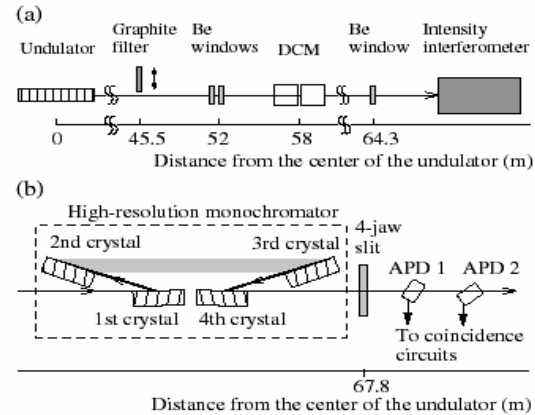
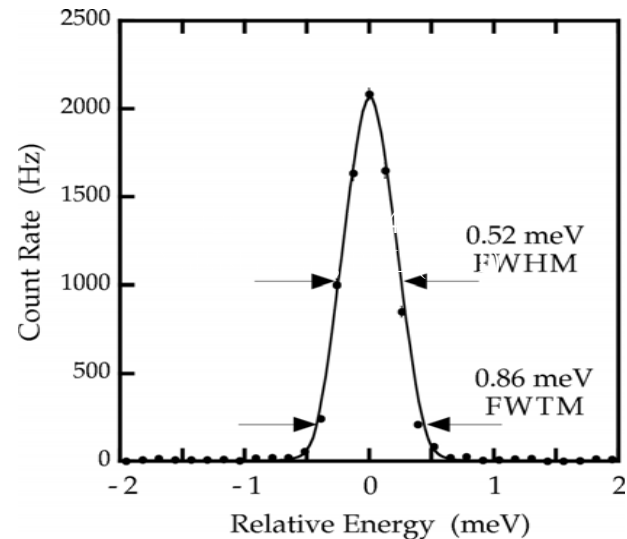
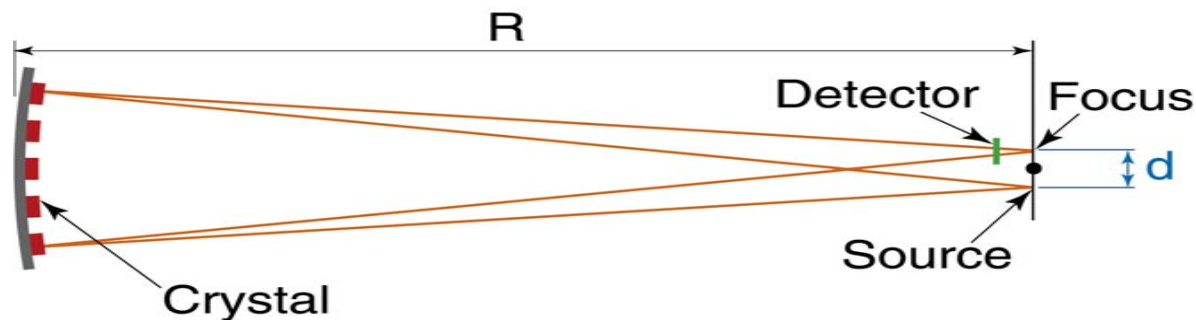


FIG. 1. Schematic top view of the experimental setup (a), and that of the intensity interferometer (b). The interferometer consists of a high-resolution monochromator using four separated crystals, a precision four-jaw slit, two semitransparent avalanche photodiodes (APDs), and coincidence circuits.



# Spherical Diced Crystal Energy Analyzer

- meV resolution ■



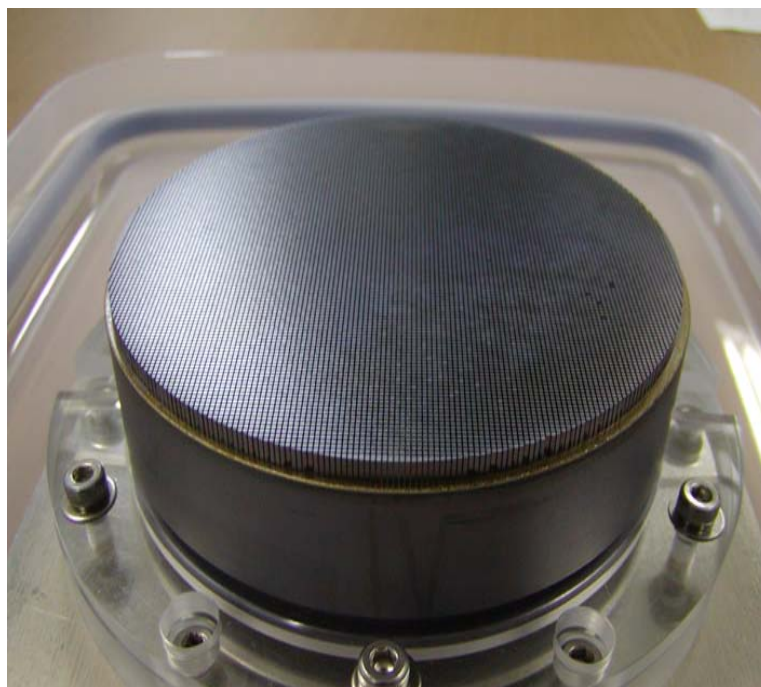
$$\frac{\Delta E}{E} = \sqrt{\omega^2 + \Delta\theta^2} \cot\theta$$

When  $\theta = 89.97^\circ$

$$\cot\theta \sim 5.2 \times 10^{-4}$$

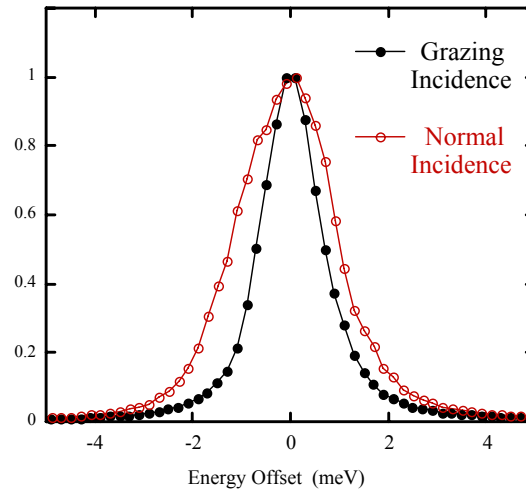
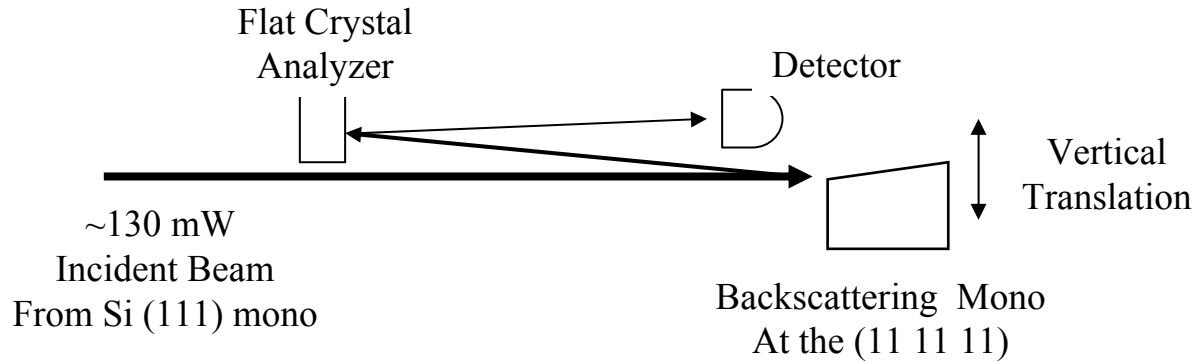
$$\text{If } \sqrt{\omega^2 + \Delta\theta^2} \sim 10^{-3} - 10^{-4}$$

$$\Delta E/E \sim 10^{-7} - 10^{-8}$$

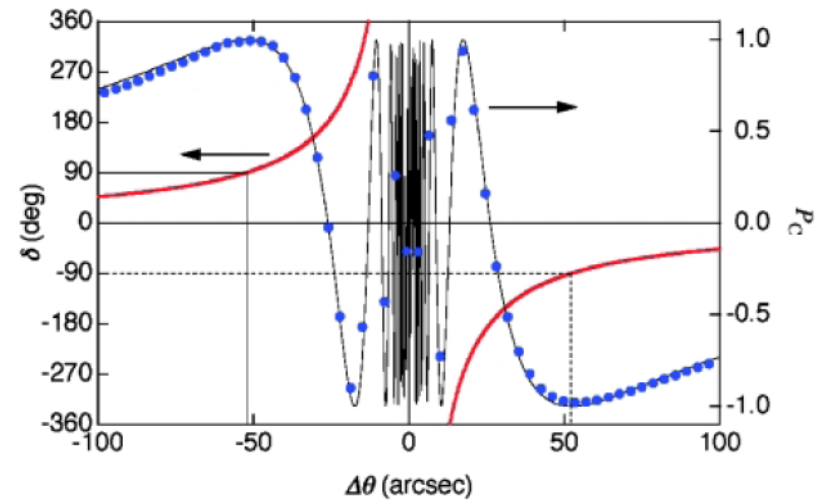
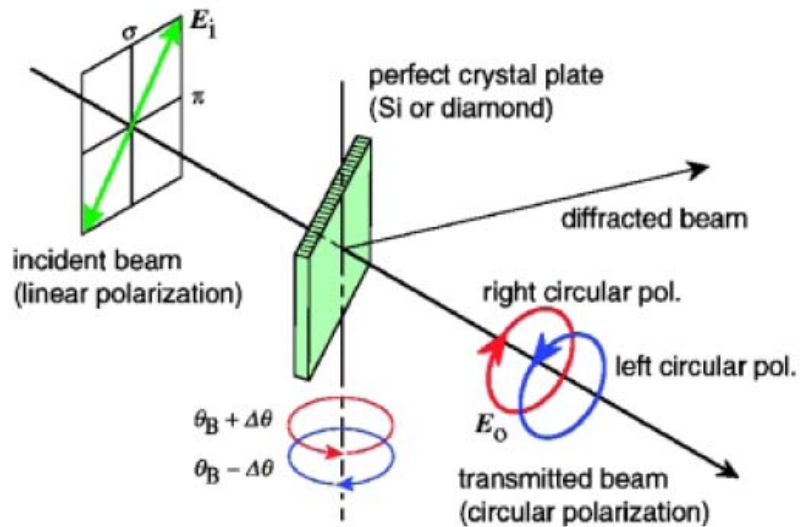


R=9.8 m Spherical Diced Crystal Analyzer

# Power Load Effects on Backscattering Monochromator



# phase retarder, polarization conversion - transmission through a thin crystal -

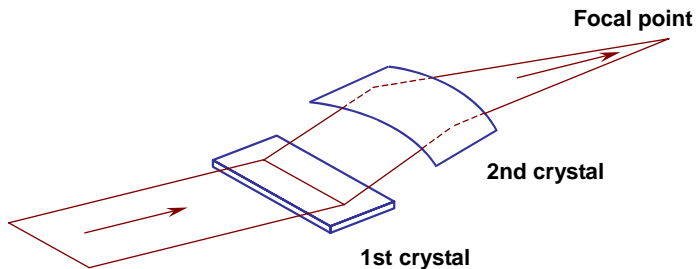


Concept of dispersion surfaces should be utilized to understand.



# Sagittal focusing

## Principle of sagittal focusing



$$r = \frac{2pq}{p+q} \sin \theta$$

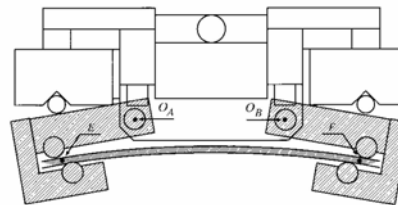
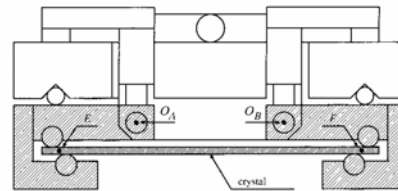
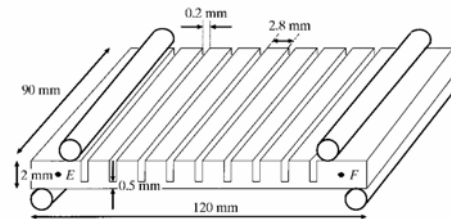
$r$ : radius of 2nd crystal

$\theta$ : Bragg angle

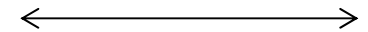
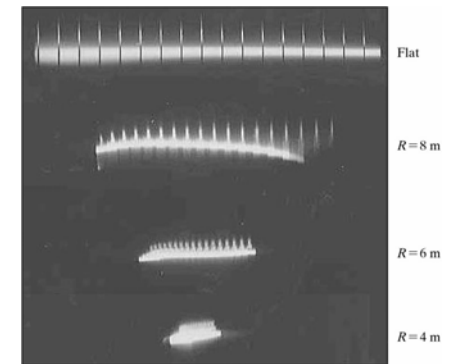
$p$ : source ~ crystal distance

$q$ : crystal ~ focal point distance

## Bending mechanism for SPring-8 sagittal focusing



## e.g. Sagittal focusing images



50 mm  
Si 311 refl.

40 keV

Source ~ Crystal =  
36.5 m

Crystal ~ focal  
point = 16.5 m

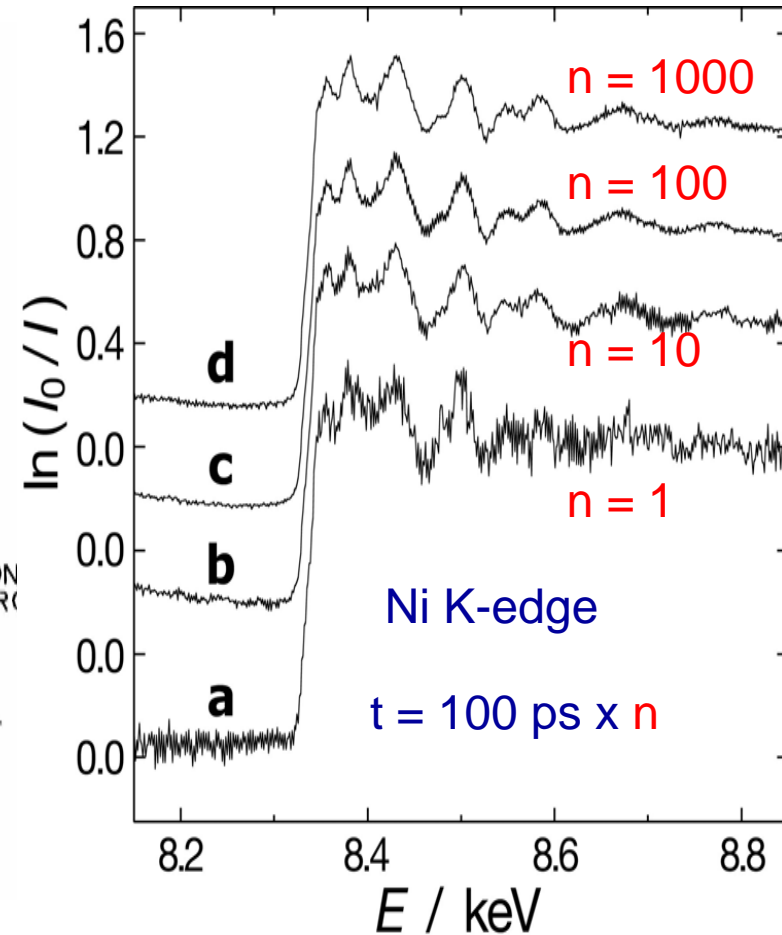
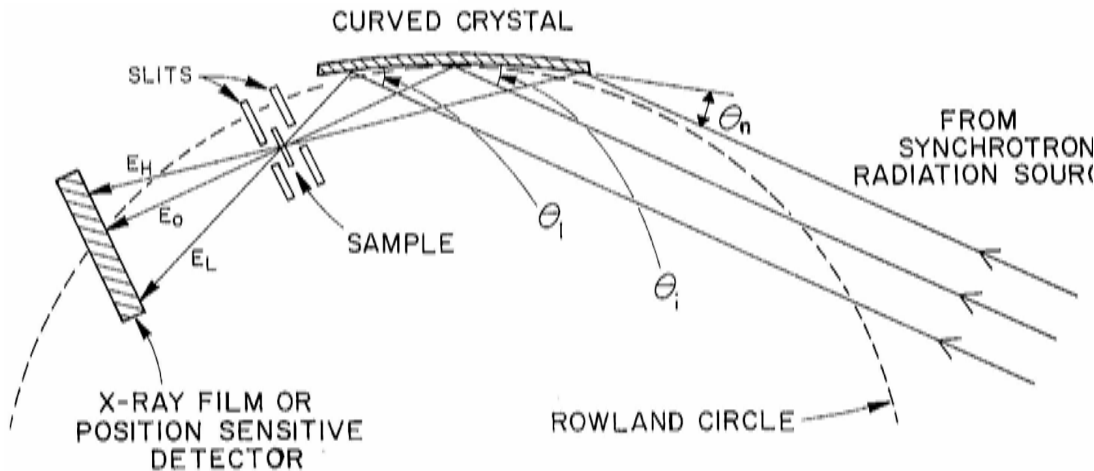
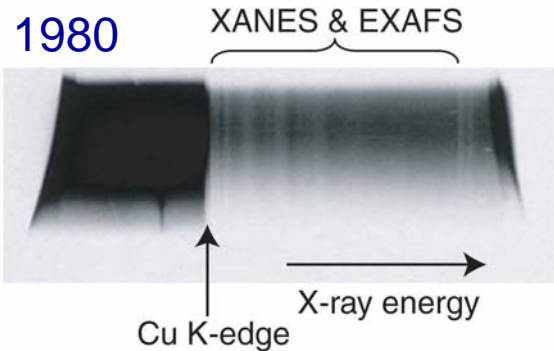
**Applied for bending magnet beamline**

# Dispersive X-ray Absorption Spectroscopy

T. Matsushita & R. P. Phizackerley:

Jpn. J. Appl. Phys. 20, 2223-2228 (1981)

$R = 100\sim 300\text{ cm}$   
 $E_H - E_L = \sim 1\text{ keV}$



稲田、丹羽、野村:放射光、20,  
242 (2007)

# Multiwavelength Dispersive X-Ray Reflectometry

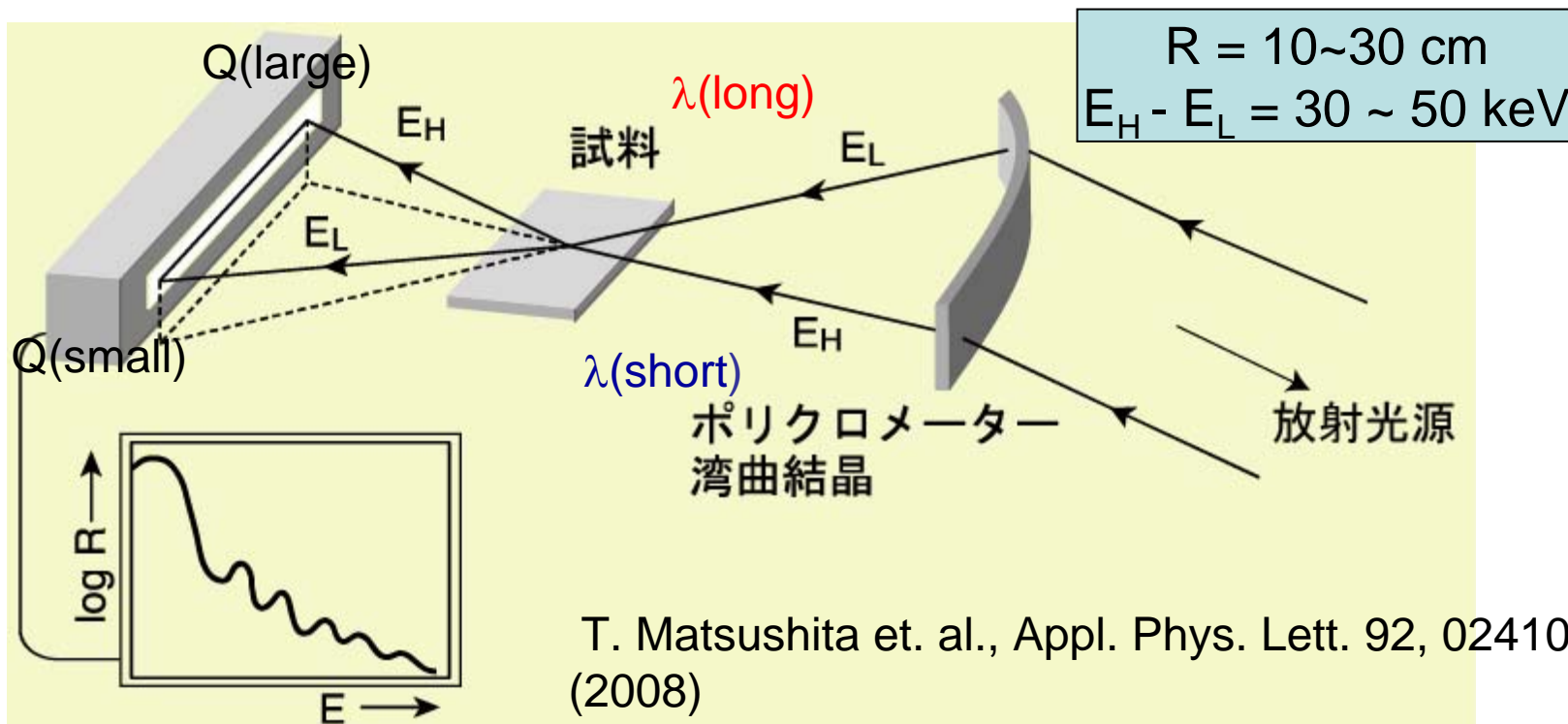
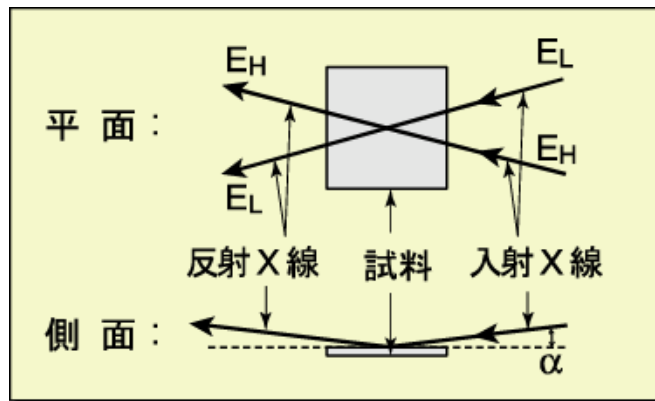
$$Q = 4\pi \sin(\alpha) / \lambda$$

$$\sim E \sin(\alpha)$$

$$Q: 0.08 \sim 0.8 \text{ \AA}^{-1}$$

$$Q_{\max} / Q_{\min} = 10$$

$$E_{\max} / E_{\min} = 10$$



T. Matsushita et. al., Appl. Phys. Lett. 92, 024103 (2008)

# Other issues

- Phase space analysis
  - position-angle (position-momentum) space
- Extended Phase space
  - position-angle-wavelength space
  - position-angle-energy space
- Ray tracing
- Feedback control of the DCM
- Compton scattering (heating of the 2nd crystal)
- Stress due to crystal mounting
- Surface finish and residual stress layer
- Wide band-pass monochromators
- Quick-scan monochromators
- Dispersion surface

# Future subjects

- Smaller slope error, smaller roughness, smaller residual stress
- optics for handling more coherent X-rays
- More higher resolution
- Wide bandpass crystal monochromator
  
- optics for handling more bright( intense) beam
- optics for handling extremely short pulses

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